

# 2021 EEO-1 Consolidated Report

At Ameriprise Financial, we believe that diverse perspectives, talent and ideas are critical to delivering financial solutions that meet clients' needs and make a difference in their financial lives. As part of our work to advance diversity, equity and inclusion (DEI) with the firm, we have evolved our disclosures, including human capital metrics.

The following EEO-1 report was filed with the Equal Employment Opportunity Commission, a federal agency that collects workforce data from employers in the U.S. with more than 100 employees. The report represents one way we track our progress. More information about our DEI priorities and initiatives can be found on the DEI page on [Ameriprise.com](http://Ameriprise.com) and the [Ameriprise Responsible Business Report](#).

CO= J621084  
U= J621084

**EQUAL EMPLOYMENT OPPORTUNITY**  
2021 EMPLOYER INFORMATION REPORT EEO-1  
CONSOLIDATED REPORT

**SECTION B - COMPANY IDENTIFICATION**

1. AMERIPRISE FINANCIAL LLC.  
707 2ND AVENUE SOUTH  
MINNEAPOLIS, MN 55402

2.a. AMERIPRISE FINANCIAL LLC.  
707 2ND AVENUE SOUTH  
MINNEAPOLIS, MN 55402

c. EIN= 133180631

**SECTION C - TEST FOR FILING REQUIREMENT**

1- Y 2- N 3- Y DUNS= 006961957

**SECTION E - ESTABLISHMENT INFORMATION**  
NAICS: 523930 - Investment Advice

**SECTION D - EMPLOYMENT DATA**

JOB CATEGORIES	HISPANIC OR LATINO		NOT-HISPANIC OR LATINO												OVERALL TOTALS
	MALE	FEMALE	***** MALE *****						***** FEMALE *****						
			WHITE	BLACK OR AFRICAN AMERICAN	NATIVE HAWAIIAN OR PACIFIC ISLANDER	ASIAN	AMERICAN INDIAN OR ALASKAN NATIVE	TWO OR MORE RACES	WHITE	BLACK OR AFRICAN AMERICAN	NATIVE HAWAIIAN OR PACIFIC ISLANDER	ASIAN	AMERICAN INDIAN OR ALASKAN NATIVE	TWO OR MORE RACES	
EXECUTIVE/SR OFFICIALS & MGRS	2	0	44	0	0	6	0	0	17	1	0	1	0	0	71
FIRST/MID OFFICIALS & MGRS	28	31	1632	44	3	190	1	33	1169	59	4	102	3	12	3311
PROFESSIONALS	17	19	715	39	0	169	2	16	544	41	1	109	2	17	1691
TECHNICIANS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SALES WORKERS	47	62	1781	39	3	79	5	19	722	27	4	62	0	17	2867
ADMINISTRATIVE SUPPORT	29	40	322	48	1	16	0	14	500	126	1	35	6	35	1173
CRAFT WORKERS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OPERATIVES	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LABORERS & HELPERS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SERVICE WORKERS	0	0	1	0	0	0	0	0	2	0	0	0	0	0	3
TOTAL	123	152	4495	170	7	460	8	82	2954	254	10	309	11	81	9116
PREVIOUS REPORT TOTAL	121	157	4671	174	7	453	9	86	3084	251	9	320	10	83	9435

**SECTION F - REMARKS**

DATES OF PAYROLL PERIOD: 12/15/2021 THRU 12/28/2021

**SECTION G - CERTIFICATION**

CERTIFYING OFFICIAL: Beth Simms  
EMAIL: beth.simms@ampf.com  
EEO1 REPORT CONTACT PERSON: Beth Simms  
EMAIL: beth.simms@ampf.com

**CERTIFIED DATE [EST]: 5/20/2022 9:54 AM**

TITLE: Senior Manager, HR Compliance  
PHONE: 612-671-3014  
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PHONE: 612-671-3014