

## Brokerage Disclosures

By registering for this service, you have agreed to the terms of the [User Agreement](#). This service is intended for informational purposes only and is not an official report of any of your accounts. Please refer to your Ameriprise Financial account statements (monthly/quarterly) and any tax statements as your official report of any of your Ameriprise Financial accounts. Account values may not reflect account fees or charges that may be assessed on surrender or withdrawal, or interest charges on loan balances. Accuracy, completeness and timeliness cannot be guaranteed. **The information and data made available on this site is obtained from third parties.** Although the information and data is believed to be accurate, neither Ameriprise Financial Services, LLC nor its financial advisors independently verify the accuracy or timeliness of the information or the accuracy of any performance calculations, nor do they imply any warranty of any kind regarding the information provided.

The displayed value of your account and current market value of your securities may be updated during market hours using at least 15-minute delayed quotes for exchange-traded securities. Market data should be used for informational purposes only and is subject to change.

Brokerage sweep detail: AIMMA bank balances may not be real time and may not reflect intraday activity. Dreyfus money market balances are real time and reflect intraday activity.

Your total market value may not always equal the sum of the individual security market values due to rounding of the underlying asset values.

Use of available margin will create a margin loan which will result in interest charges. Margin lending terms are outlined in your Ameriprise Brokerage Client Agreement and the risks of margin are outlined in the Margin Risk Disclosure provided to you at account opening and as disclosed on your statement.

Valuation of Alternative Investments: Any limited partnerships (LPs), hedge fund offerings (HFOs), non-traded real estate investment trusts (REITs), non-traded business development companies (BDCs), non-traded closed-end funds, real estate private placements (REPPs), tenant-in-common real estate securities (1031s), managed futures funds (MFFs), private equity offerings or other alternative investments (collectively, "Alternative Investments"), that are not listed on a national exchange are generally illiquid because no formal trading market exists for these securities. The values for such Alternative Investments may be different from the purchase price and may not necessarily be realized if the issuer liquidates the security or if you sell some or all of your positions. The valuation listed for such Alternative Investments is either the initial offering price or an estimated value, both of which have been provided by the issuer. Other than the initial offering price, the value of the positions you hold represent estimates of your interest in the net assets of the program, and are reflected in the total value of your account shown herein. In limited circumstances, generally where the position has been purchased through another financial institution, the valuation may be provided by an independent third party pricing agent. Each issuer of an Alternative Investment or third party pricing agent may have a different method of valuation, and may apply various methods of valuation throughout the life of the investment. Generally, the factors considered include, but are not limited to: actual or estimated property or securities values, capitalization rates, acquisition costs, current and or future cash flows, activity in an informal secondary market or overall performance. If the value of the positions are reflected as N/A, pricing information is not available. For publicly registered companies, the valuation methodology is described in the

issuer's annual report and other current periodic reports, which are available to you. For unregistered products/private placements, contact the managing general partner or company for further information about valuation. The number of units owned has been provided by the management of each program and may not necessarily reflect activity after the initial purchase.

For a period of time up to 150 days following the second anniversary of the breaking of escrow for an offering, a non-traded REIT and/or direct participation program sponsor may use a net investment methodology to calculate a per share estimated value. IMPORTANT - If the net investment methodology is used, part of your distribution will likely include a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement. Please review the non-traded REIT's or direct participation program's most recent annual report and other current periodic report for an explanation of the method by which the value was developed and the date of valuation. Non-traded REITs and other direct participation programs are not listed on a securities exchange, are generally illiquid, and even if a client is able to sell the securities, the price received may be less than the per share estimated value provided in the account statement.

The price for securities traded on an exchange will fluctuate during market hours. As a result, account balances may change throughout the day.

The mutual fund price and net asset value are based on the most recent information provided by the fund company.

Realized Gain/Loss information for positions opened (bought) or closed (sold) will not be reflected until the following day. When a covered investment is sold, we are required to report the proceeds and cost basis to the IRS. When a noncovered investment is sold, we are generally required to report the proceeds but not the cost basis to the IRS.

The cost basis information shown here may not include changes due to corporate actions (such as mergers, spin-offs, stock dividends or cash dividends in lieu of fractional shares), wash sales, certain mutual fund adjustments, returns of capital or adjustments to fixed income securities (including early prepayment of principal, premium amortization, accrual of market discount or original issue discount) or transfers into Ameriprise Financial by new or existing clients, particularly for noncovered securities. Traditional/Rollover/Roth/SEP/SIMPLE IRAs, as well as employer sponsored qualified retirement plans do not have cost basis. Cost basis shown here may be incomplete or inaccurate and should not be used to make trading decisions or relied upon in preparing your tax return.

The calculation of realized or unrealized gain/loss shown here does not include commissions or transaction fees. Consult your tax advisor or attorney.