

Portfolio Disclosures

General

This service is intended for informational purposes only and is not an official report of any of your accounts. For Ameriprise Financial accounts, refer to your official Ameriprise Financial statements. Account values may not reflect account fees or charges that may be assessed on liquidation, surrender or withdrawal, or loan balances. Accuracy, completeness and timeliness cannot be guaranteed. By registering for this service, you have agreed to the [User Agreement](#).

Investment products are not insured by the FDIC, NCUA or any federal agency, are not deposits or obligations of, or guaranteed by any financial institution, and involve investment risks including possible loss of principal and fluctuation in value.

Investment advisory products and services are made available through Ameriprise Financial Services, LLC, a registered investment adviser.

Securities offered by Ameriprise Financial Services, LLC. Member FINRA and SIPC.

Ameriprise certificates are issued by Ameriprise Certificate Company and distributed by Ameriprise Financial Services, LLC. Member FINRA and SIPC. Ameriprise certificates are not FDIC insured.

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Columbia Funds and Columbia Acorn Funds are distributed by Columbia Management Investment Distributors, Inc., member FINRA. Columbia Funds are managed by Columbia Management Investment Advisers, LLC and Columbia Acorn Funds are managed by Columbia Wanger Asset Management, LLC, a subsidiary of Columbia Management Investment Advisers, LLC.

These companies are part of Ameriprise Financial, Inc.

SIPC coverage: Brokerage accounts are protected by Securities Investor Protection Corporation (SIPC) up to a maximum of \$500,000 per client which includes a \$250,000 limit on claims for cash held in the account. Our brokerage accounts are also covered by supplemental "excess SIPC" insurance, which provides further protection to our clients (including up to \$1.9 million for customer cash balances in a brokerage account), subject to an aggregate policy limit of \$1 billion for all client claims. Assets with RiverSource Life Insurance Company, assets with other affiliated insurance companies, mutual fund assets and alternative investments held outside of your brokerage account are not covered by SIPC. For more information, please refer to your official Ameriprise Financial statement. You may obtain information regarding SIPC, including an information brochure via the internet, by phone, email or regular mail: <http://www.sipc.org>; [Tel:\(202\)371-8300](tel:(202)371-8300); Email:asksipc@sipc.org; Securities Investor Protection Corporation 805 15th Street, N.W. [Suite 800, Washington, D.C. 20005-2215](#)

Valuation of Alternative Investments: Any limited partnerships (LPs), hedge fund offerings (HFOs), non-traded real estate investment trusts (REITs), non-traded business development companies (BDCs), non-traded closed-end funds, real estate private placement (REPPs), tenant-in-common real estate securities (1031s), managed futures funds (MFFs), private equity offerings or other alternative investments (collectively, "Alternative Investments"), that are not listed on a national exchange, are generally illiquid because no formal trading market exists for these securities. The values for such Alternative Investments may be different from the purchase price and may not necessarily be realized if the issuer liquidates the security or if you sell some or all of your interests. The valuation listed for such Alternative Investments is either the initial offering price or an

estimated value, both of which have been provided by the issuer. Other than the initial offering price, the value of the positions you hold represent estimates of your interest in the net assets of the program, and are reflected in the total value of your account shown herein. In limited circumstances, generally where the position has been purchased through another financial institution, the valuation may be provided by an independent third party pricing agent. Each issuer of an Alternative Investment or third party pricing agent may have a different method of valuation, and may apply various methods of valuation throughout the life of the investment. Generally, the factors considered include, but are not limited to: actual or estimated property or securities values, capitalization rates, acquisition costs, current and or future cash flows, activity in an informal secondary market, or overall performance. If the value of the positions are reflected as N/A, pricing information is not available. For publicly registered companies, the valuation methodology is described in the issuer's annual report and other current periodic reports which are available to you. For unregistered products/private placements, contact the managing general partner or company for further information about valuation. The number of units owned has been provided by the management of each program and may not necessarily reflect activity after the initial purchase.

For a period of time up to 150 days following the second anniversary of the breaking of escrow for an offering, a non-traded REIT and/or direct participation program sponsor may use a net investment methodology to calculate a per share estimated value. **IMPORTANT** - If the net investment methodology is used, part of your distribution will likely include a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement. Please review the non-traded REITs or direct participation program's most recent annual report and other current periodic report for an explanation of the method by which the value was developed and the date of valuation. Non-traded REITs and other direct participation programs are not listed on a securities exchange, are generally illiquid, and even if a client is able to sell the securities, the price received may be less than the per share estimated value provided in the account statement.

Positions

The displayed value of your account and current market value of your securities may be updated using at least 15-minute delayed quotes for exchange-traded securities during market hours. Market data should be used for informational purposes only and is subject to change.

Progress

During the purchase period, the purchase amount for certain products, for example annuities, may not be accurately reflected in the total account value.

Held-away annuity contracts display separately by contract number. Their values 1) are not included in brokerage account(s) values; and 2) represent values received from the annuity carrier and provided for informational purposes only. For the most current contract values, please contact your annuity carrier directly.