

Company Disclosures

Possible Conflicts of Interest

As of August 31, 2022

American Enterprise Investment Services Inc. (“AEIS”) and Ameriprise Financial Services, LLC (“AFS”) are member firms registered with FINRA and are subject to the disclosure requirements relating to research analysts and the publication and distribution of research reports.

In the past 12 months, either AEIS or AFS was involved in the distribution of securities for Blackrock, JPMorgan Chase.

In the past 12 months, either AEIS or AFS received compensation for products or services other than investment banking services from the following subject company(ies): Bank of America, Broadridge Financial Solutions, Inc., Citigroup Inc., Credit Suisse Group AG, Goldman Sachs, HSBC Holdings, Invesco Ltd., JPMorgan Chase, MetLife, Microsoft, MSCI, PNC Financial Services, State Street Corp., SunTrust Banks, Wells Fargo.

The following subject company(ies) have an arrangement with either AEIS or AFS that presents a material conflict of interest. Examples include but are not limited to conducting a stock loan/borrow business with the institution, maintaining a distribution agreement with the company, maintaining a cost reimbursement or marketing support agreement or similar business arrangement with the company and arrangements to provide financial education and/or access to planning or other financial products to the company: Adobe Inc., Allianz Global Investors, American Century Investments, Bank of America, BB&T Corp., BlackRock, Broadridge Financial Solutions, Inc., Columbia Threadneedle Investments, Credit Suisse Group AG, Delaware Investments, Dreyfus Funds, DWS Investments (formerly Deutsche Asset & Wealth Management), Eaton Vance, Federated, Fidelity Investments, General Motors, Goldman Sachs, Hartford Financial Services Group, Inc., HSBC Holdings, Invesco Ltd., Ivy Funds, Janus Henderson Group PLC, John Hancock, JPMorgan Chase, Legg Mason, Lord Abbett, Mainstay Investments, Marathon Petroleum Corporation, McDonalds Corporation, MetLife, MFS (Sun Life), Microsoft, Mitsubishi UFJ Financial Group, Inc., MSCI, Neuberger Berman, Nov, Inc., Nuveen, Oppenheimer Funds, Paychex, PGIM Investments, PNC Financial Services, Principal Funds, Prudential Financial, Putnam, Raymond James Financial, Inc., SunTrust Banks, UBS Group AG, United Health Group, Vanguard, Virtus, Visa, W. P. Carey Inc., Weyerhaeuser Co., Wells Fargo Advantage Funds.

Either AEIS, AFS, or an affiliate beneficially owns (or is short) 1% or more of one or more classes of common equity securities of the following subject companies: Adobe Inc., Alantica Sustainable Infrastructure, Americold Realty Trust, Analog Devices, Arrow Electronics, Best Buy Co., Inc., BlackRock, Inc., Booz Allen Hamilton, Bristol-Myers Squibb, Broadcom Inc., Centene Corporation, Chubb Limited, Cisco Systems Inc., CMS Energy, Corning, Inc., Comcast Corp., CVS Health Corporation, DTE Energy, Duke Realty Corporation, EOG Resources, Inc., Focus Financial Partners, Generac Holdings Inc., Horizon Pharma PLC, Kornit Digital, March & McLennan Companies, MetLife, Mondelez International, Inc., MSCI, NextEra Energy Partners, PNC Financial Services, Ritchie Bros. Auctioneers, State Street Corp., SVB Financial Group, Synopsys, Sysco Corporation, Take Two Interactive Software, TE Connectivity Ltd., TechnipFMC, Tyson Foods, Ulta Beauty, Inc., United Parcel Service, Inc., Waste Management, Yeti Holdings, Inc., Zendesk.