Company Disclosures

Possible Conflicts of Interest

As of September 30, 2023

American Enterprise Investment Services Inc. ("AEIS") and Ameriprise Financial Services, LLC ("AFS") are member firms registered with FINRA and are subject to the disclosure requirements relating to research analysts and the publication and distribution of research reports.

In the past 12 months, either AEIS or AFS was involved in the distribution of securities for Blackrock, JPMorgan Chase.

In the past 12 months, either AEIS or AFS received compensation for products or services other than investment banking services from the following subject company(ies): Bank of America, Broadridge Financial Solutions, Inc., Citigroup Inc., Credit Suisse Group AG, Goldman Sachs, HSBC Holdings, Invesco Ltd., JPMorgan Chase, MetLife, Microsoft, MSCI, PNC Financial Services, SunTrust Banks, Wells Fargo.

The following subject company(ies) have an arrangement with either AEIS or AFS that presents a material conflict of interest. Examples include but are not limited to conducting a stock loan/borrow business with the institution, maintaining a distribution agreement with the company, maintaining a cost reimbursement or marketing support agreement or similar business arrangement with the company and arrangements to provide financial education and/or access to planning or other financial products to the company: Accenture, Adobe Inc., American Century Investments, Bank of America, BB&T Corp., BlackRock, BNY Mellon, Broadridge Financial Solutions, Inc., Citigroup Inc., Columbia Threadneedle Investments, Credit Suisse Group AG, Delaware Investments, Dreyfus Funds, DWS Investments (formerly Deutsche Asset & Wealth Management), Eaton Vance, Eventide, Federated Hermes, Fidelity Investments, First Eagle Funds, Franklin Templeton, Goldman Sachs, Hartford Financial Services Group, Inc., HSBC Holdings, Invesco Ltd., Janus Henderson Group PLC, John Hancock, JPMorgan Chase, Lord Abbett, Mainstay Investments, Marsh & McLennan Companies, McDonalds Corporation, MetLife, MFS (Sun Life), Microsoft, Mitsubishi UFJ Financial Group, Inc., MSCI, Natixis Funds, Neuberger Berman, Nuveen, Oracle Corporation, Paychex, PGIM Investments, PNC Financial Services, Principal Funds, Prudential Financial, Putnam, Raymond James Financial, Inc., SAP, SunTrust Banks, Truist Financial Group, UBS Group AG, United Health Group, Vanguard, Virtus, Visa, Voya Investment Management, W.P. Carey Inc., Wells Fargo.