

C0. Introduction

C0.1

(C0.1) Give a general description and introduction to your organization.

At [Ameriprise Financial](#), we have been helping people feel confident about their financial future for more than 125 years. With extensive advisory, asset management and insurance capabilities and a nationwide network of more than 10,000 financial advisors, we have the strength and expertise to serve the full range of individual and institutional investors' financial needs. Our diversified business is built on long-term relationships and centered on advice.

- We are a leading wealth manager and a longstanding leader in financial planning and advice in the U.S., serving more than 2 million individual, small business and institutional clients.
- We are a global asset manager investing on behalf of individuals and some of the most sophisticated institutional investors in the world.
- Our insurance and annuity business is differentiated and helps meet clients' retirement income and protection needs.
- And our bank is a growing capability in our wealth management business.

Given our extensive capabilities, distribution reach and leadership positions, we engage with multiple stakeholders on ESG topics, including those related to climate change. These stakeholders include Ameriprise shareholders, investors, research organizations and others who are seeking to better understand performance factors that underpin long-term business success and sustainability. While each of our businesses is managing climate change in a way that reflects their unique market and regulatory environments, overall, Ameriprise considers climate change to be a strategic risk, largely related to reputational and other aspects of our corporate social responsibility reporting and compliance with regulatory agendas.

In terms of emissions, like other financial services firms, Ameriprise is a low direct greenhouse gas (GHG) emitter. The main contributor to these emissions is our corporate real estate. We incorporate responsible environmental practices in the management of our facilities and have earned recognition at many locations for our energy efficiency. We will continue to manage our corporate footprint strategically to support business growth, improve efficiency, maximize resources and manage emissions. That work is ongoing, and we approach it as part of a global real estate function. We also benefit from the Responsible Investment (RI) capabilities at Columbia Threadneedle, the asset management business of Ameriprise. We would highlight the following enhancements:

- Since 2021, we have expanded our reporting capabilities, now providing the direct and indirect GHG emissions associated with our global carbon footprint where we have accurate data to do so.
- Within wealth management, we offer a broad range of products and services to our retail clients and advisors, including sustainable investing strategies for those who would like to incorporate environmental and climate related factors in their investment portfolios.
- Columbia Threadneedle published its initial Climate Change report in November 2022 using the TCFD framework and issued an updated report in June 2023.
- RiverSource Life benefits from Columbia Threadneedle's RI capabilities in the management of the owned asset portfolios and aligns its approach to manage climate risk with Ameriprise.
- Ameriprise Bank, FSB offers products and services for Ameriprise clients through the Advice & Wealth Management segment. Like RiverSource, the Bank benefits from Columbia Threadneedle's investment capabilities for the management of its investment portfolio, including for those asset classes where RI-related data is available.

With regard to managing the risks and opportunities of climate change, we will continue to advance our capabilities pragmatically, ensuring we meet regulatory requirements while balancing the needs of all our stakeholders.

C0.2

(C0.2) State the start and end date of the year for which you are reporting data and indicate whether you will be providing emissions data for past reporting years.

Reporting year

Start date

January 1 2022

End date

December 31 2022

Indicate if you are providing emissions data for past reporting years

Yes

Select the number of past reporting years you will be providing Scope 1 emissions data for

3 years

Select the number of past reporting years you will be providing Scope 2 emissions data for

3 years

Select the number of past reporting years you will be providing Scope 3 emissions data for

3 years

C0.3

(C0.3) Select the countries/areas in which you operate.

- Australia
- Austria
- Chile
- Denmark
- France
- Germany
- Hong Kong SAR, China
- India
- Italy
- Japan
- Luxembourg
- Netherlands
- Republic of Korea
- Singapore
- Spain
- Sweden
- Switzerland
- United Arab Emirates
- United Kingdom of Great Britain and Northern Ireland
- United States of America

C0.4

(C0.4) Select the currency used for all financial information disclosed throughout your response.

USD

C0.5

(C0.5) Select the option that describes the reporting boundary for which climate-related impacts on your business are being reported. Note that this option should align with your chosen approach for consolidating your GHG inventory.

Operational control

C-FS0.7

(C-FS0.7) Which activities does your organization undertake, and which industry sectors does your organization lend to, invest in, and/or insure?

	Does your organization undertake this activity?	Insurance types underwritten	Industry sectors your organization lends to, invests in, and/or insures
Banking (Bank)	Yes	<Not Applicable>	Exposed to all broad market sectors
Investing (Asset manager)	Yes	<Not Applicable>	Exposed to all broad market sectors
Investing (Asset owner)	Yes	<Not Applicable>	Exposed to all broad market sectors
Insurance underwriting (Insurance company)	Yes	Life and/or Health	<Not Applicable>

C0.8

(C0.8) Does your organization have an ISIN code or another unique identifier (e.g., Ticker, CUSIP, etc.)?

Indicate whether you are able to provide a unique identifier for your organization	Provide your unique identifier
Yes, a Ticker symbol	AMP
Yes, an ISIN code	US03076C1062
Yes, a SEDOL code	BYZHDR1
Yes, a CUSIP number	03076C106

C1. Governance

C1.1

(C1.1) Is there board-level oversight of climate-related issues within your organization?

Yes

C1.1a

(C1.1a) Identify the position(s) (do not include any names) of the individual(s) on the board with responsibility for climate-related issues.

Position of individual or committee	Responsibilities for climate-related issues
Board-level committee	<p>While each committee of the Ameriprise Board of Directors has oversight and responsibility of different environmental, social and governance (ESG) factors, since 2017 the Nominating and Governance Committee has provided Board oversight and governance of the Corporate Social Responsibility (CSR) reporting at Ameriprise. The committee engages with senior management to review the annual Responsible Business Report and other disclosures, including the Ameriprise SASB Index.</p> <p>Our 2023 Responsible Business Report includes information on our climate positioning and risk management processes and is available at https://www.ameriprise.com/binaries/content/assets/ampcom/2023-responsible-business-report.pdf.</p>
Chief Executive Officer (CEO)	Our chairman and CEO leads the company's Executive Leadership Team Corporate Social Responsibility Steering Committee (ELT CSR SteerCo). The ELT CSR SteerCo sets strategic direction for Ameriprise CSR reporting, ensures cross functional collaboration and risk management within business units related to metric and data quality and governance, and helps ensure an effective internal control environment for regulatory reporting. ELT CSR SteerCo membership includes senior leaders from Finance, General Counsel Organization, Human Resources, Technology, Business Heads and Corporate Communications. Ultimately, decisions about how the company will evolve our climate strategy, actions and disclosures, are made by the ELT CSR SteerCo.
Board-level committee	At the enterprise level, risks are managed through our Enterprise Risk Management (ERM) program that encompasses financial and non-financial risk components. Risk management is incorporated into the day-to-day operations and decision-making of the company and our ERM program reflects specific tolerances and regulatory requirements that we monitor to ensure we meet client and company needs. In addition, the Ameriprise Financial Board plays an important oversight role with the Audit and Risk Committee in particular. For more information about our ERM program review our 2023 Responsible Business Report.

C1.1b

(C1.1b) Provide further details on the board's oversight of climate-related issues.

Frequency with which climate-related issues are a scheduled agenda item	Governance mechanisms into which climate-related issues are integrated	Scope of board-level oversight	Please explain
Scheduled – some meetings	<p>Reviewing and guiding strategy</p> <p>Reviewing and guiding the risk management process</p>	<p>Climate-related risks and opportunities to our own operations</p> <p>The impact of our own operations on the climate</p>	<p>The Ameriprise Board of Directors reviews the company's Corporate Social Responsibility (CSR) initiatives, programs, performance and strategy annually.</p> <p>The Nominating and Governance Committee of the Ameriprise Board oversees our CSR reporting, including our climate-related initiatives. For example, at the January 2023 meeting, the committee reviewed the Ameriprise Responsible Business Report, which includes our climate-related strategy and work, and SASB Index.</p> <p>Our Lead Independent Director also participates on certain investor calls, as requested, that can include climate change risk management and disclosures.</p> <p>In addition, the Audit & Risk Committee oversees all risk management activities, which include the company's consideration of climate change as a strategic risk, largely related to reputational and other aspects of our corporate social responsibility reporting and compliance with regulatory agendas.</p> <p>Management regularly updates the Board on the regulatory environment and emerging risks. The Board discusses with management, the general auditor and independent auditors the company's enterprise-wide risk assessment and risk management processes, including:</p> <ul style="list-style-type: none"> • Prevailing material risks and exposures • Actions being taken to mitigate these risks • The design and effectiveness of our processes and controls in light of evolving market, business, regulatory and other conditions

C1.1d

(C1.1d) Does your organization have at least one board member with competence on climate-related issues?

	Board member(s) have competence on climate-related issues	Criteria used to assess competence of board member(s) on climate-related issues	Primary reason for no board-level competence on climate-related issues	Explain why your organization does not have at least one board member with competence on climate-related issues and any plans to address board-level competence in the future
Row 1	Yes	Criteria used includes any board member with professional, board and/or academic experience in relevant industries, including but not limited to industries such as energy and real estate.	<Not Applicable>	<Not Applicable>

C1.2

(C1.2) Provide the highest management-level position(s) or committee(s) with responsibility for climate-related issues.

Position or committee

Chief Executive Officer (CEO)

Climate-related responsibilities of this position

Other, please specify (Chair of the ELT CSR SteerCo)

Coverage of responsibilities

<Not Applicable>

Reporting line

Reports to the board directly

Frequency of reporting to the board on climate-related issues via this reporting line

Annually

Please explain

Our chairman and CEO leads the company's Executive Leadership Team Corporate Social Responsibility Steering Committee (ELT CSR SteerCo). The ELT CSR SteerCo sets overall strategic direction for Ameriprise CSR reporting, provides constructive challenge, and ensures compliance with regulatory requirements.

Position or committee

Chief Risks Officer (CRO)

Climate-related responsibilities of this position

Assessing climate-related risks and opportunities

Coverage of responsibilities

Risks and opportunities related to our own operations

Reporting line

CEO reporting line

Frequency of reporting to the board on climate-related issues via this reporting line

As important matters arise

Please explain

Our CRO oversees our Enterprise Risk Management (ERM) process and our nine risk policies. Within this framework, Ameriprise considers climate change as a strategic risk, largely related to reputational and other aspects of our corporate social responsibility reporting and compliance with regulatory agendas.

Position or committee

Chief Financial Officer (CFO)

Climate-related responsibilities of this position

Assessing climate-related risks and opportunities

Coverage of responsibilities

Risks and opportunities related to our banking
 Risks and opportunities related to our investing activities
 Risks and opportunities related to our insurance underwriting activities
 Risks and opportunities related to our own operations

Reporting line

CEO reporting line

Frequency of reporting to the board on climate-related issues via this reporting line

Annually

Please explain

Our CFO helps shape our CSR strategy and reporting, including our efforts related to ensuring strong data governance, processes and controls.

Position or committee

Chief Investment Officer (CIO)

Climate-related responsibilities of this position

Conducting climate-related scenario analysis
 Managing value chain engagement on climate-related issues
 Assessing climate-related risks and opportunities
 Managing climate-related risks and opportunities

Coverage of responsibilities

Risks and opportunities related to our investing activities

Reporting line

Other, please specify (Reports to the CEO of Columbia Threadneedle Investments)

Frequency of reporting to the board on climate-related issues via this reporting line

Not reported to the board

Please explain

Columbia Threadneedle's Global CIO is responsible for ensuring that information related to environmental, social and governance (ESG) is included in their research, helping to build a holistic view of the climate-related risks and opportunities attached to their holdings and potential investments.

Position or committee

Business unit manager

Climate-related responsibilities of this position

Assessing climate-related risks and opportunities
Managing climate-related risks and opportunities

Coverage of responsibilities

Risks and opportunities related to our own operations

Reporting line

Finance - CFO reporting line

Frequency of reporting to the board on climate-related issues via this reporting line

Not reported to the board

Please explain

Our Vice-President of Global Real Estate is responsible for the efficient management of all Ameriprise facilities and contributes to the process of calculating our global carbon footprint. This position manages a team of individuals within the Ameriprise enterprise real estate department who are responsible for supplying a majority of the data used to calculate Ameriprise's scope 1 and 2 emissions.

Position or committee

Corporate responsibility committee

Climate-related responsibilities of this position

Integrating climate-related issues into the strategy
Assessing climate-related risks and opportunities
Managing climate-related risks and opportunities

Coverage of responsibilities

Risks and opportunities related to our banking
Risks and opportunities related to our investing activities
Risks and opportunities related to our insurance underwriting activities
Risks and opportunities related to our own operations

Reporting line

Other, please specify (Members of the CSR Working group report up into members of the ELT CSR Steering Committee)

Frequency of reporting to the board on climate-related issues via this reporting line

Not reported to the board

Please explain

The Ameriprise ELT CSR SteerCo sets overall strategic direction for our CSR reporting. The Ameriprise CSR Working Group, made up of senior leaders from across the firm, monitors developments and reporting trends and makes appropriate recommendations to the ELT CSR SteerCo.

Position or committee

Other C-Suite Officer, please specify (President, Insurance & Annuities)

Climate-related responsibilities of this position

Assessing climate-related risks and opportunities

Coverage of responsibilities

Risks and opportunities related to our insurance underwriting activities

Reporting line

CEO reporting line

Frequency of reporting to the board on climate-related issues via this reporting line

Annually

Please explain

As President of the RiverSource board, this person is responsible for oversight of the management of climate risks and opportunities related to our retirement and protection solutions business.

Position or committee

Other, please specify (Global Head of Responsible Investment at Columbia Threadneedle)

All noted information relates to Columbia Threadneedle.

Climate-related responsibilities of this position

Implementing a climate transition plan
Integrating climate-related issues into the strategy
Conducting climate-related scenario analysis
Managing value chain engagement on climate-related issues
Assessing climate-related risks and opportunities
Managing climate-related risks and opportunities

Coverage of responsibilities

Risks and opportunities related to our investing activities

Reporting line

Investment - CIO reporting line

Frequency of reporting to the board on climate-related issues via this reporting line

Not reported to the board

Please explain

Columbia Threadneedle's Global Head of Responsible Investment provides important perspective as both an investor and business head as it relates to understanding how climate is integrated within Columbia Threadneedle's fundamental research and the unique client demands of investors across regions. This person leads a 40-person team of RI specialists who work to include financially material ESG factors into investment research to build a fuller picture of the risks, opportunities and future return prospects of the companies, debt issuers and assets we invest or look to invest in for our clients.

Position or committee

Other, please specify (Columbia Threadneedle Global Executive Group)

All noted information relates to Columbia Threadneedle.

Climate-related responsibilities of this position

Managing major capital and/or operational expenditures related to low-carbon products or services (including R&D)

Developing a climate transition plan

Implementing a climate transition plan

Integrating climate-related issues into the strategy

Setting climate-related corporate targets

Monitoring progress against climate-related corporate targets

Coverage of responsibilities

<Not Applicable>

Reporting line

Other, please specify (Columbia Threadneedle's CEO is the Chair of the Global Heads Group)

Frequency of reporting to the board on climate-related issues via this reporting line

As important matters arise

Please explain

The decisions related to Columbia Threadneedle's global strategy are managed by their operating forums, the Global Executive Group (GEG) and Global Business Group (GBG). The GEG and GBG exercise, as part of their responsibilities, strategic oversight of our asset management business, including climate-related risks and opportunities and management of our business-related carbon emissions. The two forums are chaired by Columbia Threadneedle's Chief Executive Officer. This strategic oversight is in addition to the governance arrangements that are in place across the three regions in which we operate our asset management business: North America (NA); Europe, Middle East and Africa (EMEA); and Asia Pacific (APAC).

C1.3

(C1.3) Do you provide incentives for the management of climate-related issues, including the attainment of targets?

	Provide incentives for the management of climate-related issues	Comment
Row 1	Yes	Non-monetary recognition relates to our facilities team and are associated with energy efficiency projects, as detailed below.

C1.3a

(C1.3a) Provide further details on the incentives provided for the management of climate-related issues (do not include the names of individuals).
Entitled to incentive

Facilities manager

Type of incentive

Non-monetary reward

Incentive(s)

Other, please specify (Recognition within performance reviews)

Performance indicator(s)

Energy efficiency improvement

Reduction in total energy consumption

Incentive plan(s) this incentive is linked to

Not part of an existing incentive plan

Further details of incentive(s)

Our real estate team receives recognition for energy/reduction initiatives in performance reviews.

Explain how this incentive contributes to the implementation of your organization's climate commitments and/or climate transition plan

A reduction in energy consumption will support a decrease in carbon emissions.

(C-FS1.4) Does your organization offer its employees an employment-based retirement scheme that incorporates ESG criteria, including climate change?

	Employment-based retirement scheme that incorporates ESG criteria, including climate change	Describe how funds within the retirement scheme are selected and how your organization ensures that ESG criteria are incorporated	Provide reasons for not incorporating ESG criteria into your organization's employment-based retirement scheme and your plans for the future
Row 1	Yes, as an investment option	Within the broader selection of investments, ESG strategies are available through our self-directed brokerage option.	<Not Applicable>

C2. Risks and opportunities

C2.1

(C2.1) Does your organization have a process for identifying, assessing, and responding to climate-related risks and opportunities?

Yes

C2.1a

(C2.1a) How does your organization define short-, medium- and long-term time horizons?

	From (years)	To (years)	Comment
Short-term	0	1	Realized risks are also sometimes defined within a 0-18 month timeframe.
Medium-term	1	5	
Long-term	5	100	

C2.1b

(C2.1b) How does your organization define substantive financial or strategic impact on your business?

Within our Enterprise Risk Management (ERM) framework, Ameriprise considers climate change to be a strategic risk, largely related to reputational and other aspects of our corporate social responsibility reporting and compliance with regulatory agendas. Within our ERM framework, the Enterprise Risk Management Committee (ERMC) provides oversight for firm-wide strategic, financial and operational risk. While the enterprise considers climate as a strategic risk, each business unit determines its approach based on market dynamics and regulatory requirements.

Through our ERM process, the company evaluates substantive financial impacts on a quarterly basis. Each business unit identifies and assesses material operational risks as part of the Risk and Control Self Assessment (RCSA) process. In addition, we also use a documented risk event process where we incorporate a Red, Amber, Green (RAG) rating system to classify Risk Events (REVs) on a scale of very low to very high actual or potential impact. Each REV is assigned an impact rating based on the RAG status, and determined by the actual or potential financial, business, client, regulatory, and reputational impact. Business unit leads score each risk on three dimensions: probability of occurrence, financial impact and control environment.

C2.2

(C2.2) Describe your process(es) for identifying, assessing and responding to climate-related risks and opportunities.

Value chain stage(s) covered

Direct operations
Upstream

Risk management process

Integrated into multi-disciplinary company-wide risk management process

Frequency of assessment

More than once a year

Time horizon(s) covered

Short-term
Medium-term
Long-term

Description of process

At the enterprise level, Ameriprise considers climate change to be a strategic risk, largely related to reputational and other aspects of our corporate social responsibility reporting and compliance with regulatory agendas. Policies have been developed for top risk areas, such as financial, operational, reputational and strategic risks, and processes are in place to ensure the ERM is aware of material risks to the enterprise and that the business owners are incorporating these risks into their business planning and operations. The ERM reviews strategic risks on an ongoing basis, and formally reviews them as part of our enterprise risk management process annually. In addition, the company reviews strategic risk and the actions to mitigate the potential impact of those risks as part of our long-range planning process.

Our ERM process includes assessing, protecting against and mitigating the physical risks of catastrophic weather events affecting the company, our clients and our policyholders. And we are cognizant of the long-term transitional challenges and opportunities that reflect evolving consumer preferences and regulatory regimes. Overall, we approach climate issues as a wealth manager, global asset manager and insurer:

- Within wealth management, we offer a broad range of products and services to our clients and advisors, including sustainable investing strategies for those clients who would like to incorporate environmental and climate related factors in their investments. This includes expanded research, training and tools for advisors.
- Columbia Threadneedle is working to deliver long-term financial returns for clients, which includes effectively managing climate-related risks and opportunities. As an asset manager, it plays an important role in the transition to a low-carbon economy, which is complex and requires consideration of multiple geopolitical realities and unique market characteristics of the companies and assets in which we invest in for clients. For more information see Columbia Threadneedle's Climate Change Report June 2023.
- Within our retirement and protection business, our efforts align to Ameriprise's risk management process and benefit from our investment capabilities for the management of our life portfolio.
- Ameriprise Bank, FSB offers products and services for Ameriprise clients through the Advice & Wealth Management segment. Like RiverSource, the Bank benefits from Columbia Threadneedle's investment capabilities for the management of its investment portfolio, including for those asset classes where RI-related data is available.

Ultimately, Ameriprise, and its subsidiaries, are managing climate-related risks and opportunities and ensuring we meet regulatory requirements.

C2.2a

(C2.2a) Which risk types are considered in your organization's climate-related risk assessments?

	Relevance & Inclusion	Please explain
Current regulation	Relevant, always included	As a diversified financial services firm, our businesses are heavily regulated. Virtually all aspects of our business, including the activities of our parent company and our subsidiaries, are subject to various federal, state and international laws and regulations. Compliance with these applicable laws and regulations is time consuming and personnel-intensive, and we have invested substantial resources to ensure compliance by our parent company and our subsidiaries, directors, officers, employees, registered representatives and agents.
Emerging regulation	Relevant, always included	Our businesses are regulated heavily, and changes to the laws and regulations applicable to our businesses, including those pertaining to climate, may have an adverse effect on our operations, reputation and financial condition. In addition, climate change regulation may affect the prospects of companies and other entities whose securities we hold, or our willingness to continue to hold their securities. Further, any future legislation or changes to the laws and regulations applicable to our businesses, as well as changes to the interpretation and enforcement of such laws and regulations, may affect our operations and financial condition.
Technology	Relevant, always included	Technology is a key component of our business, and any risk would be assessed against the ability to deliver the technology component of that particular area, including climate. As an example, physical risks related to technology infrastructure are considered annually when IT conducts a risk assessment, vertically and horizontally across the organization.
Legal	Relevant, always included	As a financial services firm, our operations are generally not subject to climate or environmentally related litigation claims. However, we are, and in the future may be, subject to legal and regulatory actions in the ordinary course of our operations, both domestically and internationally.
Market	Relevant, always included	Our financial condition and results of operations may be materially affected by market fluctuations and by economic and other factors, including climate. Such factors, which can be global, regional, national or local in nature, include: (i) the level and volatility of the markets, including equity prices, interest rates, commodity prices, currency values and other market indices and drivers; (ii) geopolitical strain, terrorism and armed conflicts, (iii) political, social, economic and market conditions; (iv) the availability and cost of capital; (v) the ongoing coronavirus disease 2019 ("COVID-19") pandemic or other global health emergencies; (vi) technological changes and events; (vii) U.S. and foreign government fiscal and tax policies; (viii) U.S. and foreign government ability, real or perceived, to avoid defaulting on government securities; (ix) the availability and cost of credit and hedge markets; (x) the ongoing inflationary environment; (xi) investor sentiment and confidence in the financial markets; and (xii) natural disasters such as weather catastrophes and widespread health emergencies. These factors could also impact client behavior. Market downturns, stagnation, and volatility may cause, and have caused, individual investors to limit or decrease their participation in global markets negatively impacting our retail business and/or our product sales. We monitor evolving industry trends to inform our business strategy and identify market risks, which may include changes in client demand.
Reputation	Relevant, always included	A failure to protect our reputation could adversely affect our businesses. Our reputation is one of our most important assets. Our ability to attract and retain customers, investors, employees and advisors is highly dependent upon external perceptions of our company. Damage to our reputation could cause significant harm to our business and prospects. Reputational damage may arise from numerous sources including litigation or regulatory actions, failing to deliver minimum standards of service and quality, compliance failures, any perceived or actual weakness in our financial strength or liquidity, clients' or potential clients' perceived failure of how we address certain political, environmental, social or governance topics. Additionally, a failure to develop new products and services, or successfully manage associated operational risks, could harm our reputation and potentially expose us to additional costs, or negative public relations or social media campaigns. Climate change may also influence investor sentiment with respect to the Company and investments in our portfolio. It may also impact other counterparties, including reinsurers, and affect the value of investments, including real estate investments we hold or manage for others.
Acute physical	Relevant, always included	Climate change is increasing the severity and frequency of weather-related catastrophes. The occurrence of natural disasters and catastrophes, including earthquakes, hurricanes, floods, tornadoes, fires, blackouts, and severe winter weather could adversely affect our results of operations or financial condition. Such disasters and catastrophes may damage our facilities, preventing our service providers, employees and financial advisors from performing their roles, or otherwise disturbing our ordinary business operations and by impacting insurance claims, as described below. These impacts could be particularly severe to the extent they affect access to physical facilities, the physical well-being of large numbers of our employees, our computer-based data processing, transmission, storage and retrieval systems and destroy or release valuable data.
Chronic physical	Relevant, always included	Climate change is increasing the severity and frequency of weather-related catastrophes. The potential effects of natural and man-made disasters and catastrophes on certain areas of our businesses include but are not limited to the following: (i) a catastrophic loss of life may materially increase the amount of or accelerate the timing in which benefits are paid under our insurance policies; (ii) an increase in claims and any resulting increase in claims reserves caused by a disaster may harm the financial condition of our reinsurers, thereby impacting the cost and availability of reinsurance and the probability of default on reinsurance recoveries; (iii) widespread unavailability of staff; and (iv) declines and volatility in the financial markets that may decrease the value of our assets under management and administration, which could harm our financial condition and reduce our management fees. Additionally, our real estate team monitors chronic shifts in climate that may affect the facilities in our operational footprint. For example, severe weather such as heat waves, winter weather or severe seasonal storms may impact our energy efficiency.

C-FS2.2b

(C-FS2.2b) Do you assess your portfolio's exposure to climate-related risks and opportunities?

	We assess the portfolio's exposure	Explain why your portfolio's exposure is not assessed and your plans to address this in the future
Banking (Bank)	No, and we do not plan to in the next two years	The vast majority of our Bank's investment portfolio is invested in structured assets, including mortgages. However, these asset classes lack reliable, consistent RI data. As the market matures and relevant data capabilities develop further, we will evolve our approach to assessing the portfolio's exposures. Currently, the Bank leverages the extensive RI capabilities at Columbia Threadneedle in the management of its investment portfolio.
Investing (Asset manager)	Yes	<Not Applicable>
Investing (Asset owner)	Yes	<Not Applicable>
Insurance underwriting (Insurance company)	No, and we do not plan to in the next two years	As a life insurer and annuity provider our underwriting is at the retail/client level, and therefore we don't incorporate climate risk at the policy level. However, we continue to advance our climate capabilities largely within our insurance investment portfolio and within our risk management approach.

C-FS2.2c

(C-FS2.2c) Describe how you assess your portfolio's exposure to climate-related risks and opportunities.

	Type of risk management process	Proportion of portfolio covered by risk management process	Type of assessment	Time horizon(s) covered	Tools and methods used	Provide the rationale for implementing this process to assess your portfolio's exposure to climate-related risks and opportunities
Banking (Bank)	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Investing (Asset manager)	A specific climate-related risk management process	100	Qualitative and quantitative	Short-term Medium-term Long-term	The Transition Pathway Initiative (TPI) Risk models Scenario analysis Stress tests Internal tools/methods Other, please specify (Aladdin / MSCI, Specialist data sources for certain asset classes)	As a research-driven active manager, Columbia Threadneedle believes that the integration of financially material ESG factors into investment research builds a fuller picture of the risks and opportunities and future return prospects of the companies, debt issuers and assets we invest, or look to invest in. ESG factors are therefore part of the fundamental research process, led by a team of approximately 200 analysts and research associates. Columbia Threadneedle's more than 40 RI specialists act as a hub of expertise. In the context of climate change, they produce research, conduct training, and develop data tools and methodologies. For more information see Columbia Threadneedle's Climate Change Report June 2023.
Investing (Asset owner)	Integrated into multi-disciplinary company-wide risk management process	45	Qualitative and quantitative	Short-term Medium-term Long-term	Risk models Scenario analysis Stress tests Internal tools/methods	Our research process incorporates ESG aspects as a means to enhance our view into strategic direction, capital allocation and culture that are critical in the underwriting of corporate management teams. We believe this provides us a holistic view of risk when considering, debating the risk/reward proposition of a security within the portfolio construction process. Specifically, the research team incorporates MSCI, Columbia Threadneedle's proprietary ratings and ESG materiality factors into its fundamental analysis. These ratings and key ESG materiality factors supplement analyst credit metric tables incorporated in company and industry thesis statements. The materiality factors and statistics presented in the tables include but are not limited to GHG emissions, biodiversity & land, health & safety exposure, water usage and ethics and fraud.
Insurance underwriting (Insurance company)	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>

C-FS2.2d

(C-FS2.2d) Does your organization consider climate-related information about your clients/investees as part of your due diligence and/or risk assessment process?

	We consider climate-related information	Explain why you do not consider climate-related information and your plans to address this in the future
Banking (Bank)	No, and we do not plan to in the next two years	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.
Investing (Asset manager)	Yes	<Not Applicable>
Investing (Asset owner)	No, and we do not plan to in the next two years	We do not have the necessary data to prioritize this.
Insurance underwriting (Insurance company)	<Not Applicable>	<Not Applicable>

C-FS2.2e

(C-FS2.2e) Indicate the climate-related information your organization considers about clients/investees as part of your due diligence and/or risk assessment process, and how this influences decision-making.

Portfolio

Investing (Asset manager)

Type of climate-related information considered

Emissions data
Emissions reduction targets
Climate transition plans
TCFD disclosures

Process through which information is obtained

Directly from the client/investee
Data provider
Public data sources

Industry sector(s) covered by due diligence and/or risk assessment process

Other, please specify (This risk assessment is carried out for companies where it is deemed to be material. These companies can be in any sector.)

State how this climate-related information influences your decision-making

As a research-driven active manager, Columbia Threadneedle believes that the integration of financially material ESG factors into investment research builds a fuller picture of the risks and opportunities and future return prospects of the companies, debt issuers and assets we invest, or look to invest in. While investment approaches and styles vary depending on the product and strategy, Columbia Threadneedle's investment professionals share a common belief that companies that demonstrate a sustainable business model, organizational stability, and the ability to evolve where necessary are best placed to deliver long-term value for clients, support economic growth, and serve broader prosperity.

ESG factors are therefore part of the fundamental research process, led by a team of approximately 200 analysts and research associates. Working collaboratively across all major asset classes, the team works to turn information and data into forward-looking insights that can add real value to investment decisions, enhancing the ability to deliver on clients' desired financial and non-financial outcomes.

Columbia Threadneedle's more than 40 RI specialists act as a hub of expertise. These specialists work with research and investment professionals to enrich their understanding of key sustainability trends as they relate to specific sectors and issuers. The specialists collaborate with portfolio managers and investment analysts to highlight risks and opportunities within industries and sectors, informing investment decisions across asset classes. In the context of climate change, they produce research, conduct training, and develop data tools and methodologies. Among the RI specialists is the active ownership team, which leads on the implementation of collaborative engagement and voting processes in line with client expectations.

For more information see Columbia Threadneedle's Climate Change Report June 2023.

C2.3

(C2.3) Have you identified any inherent climate-related risks with the potential to have a substantive financial or strategic impact on your business?

Yes

C2.3a

(C2.3a) Provide details of risks identified with the potential to have a substantive financial or strategic impact on your business.

Identifier

Risk 1

Where in the value chain does the risk driver occur?

Direct operations

Risk type & Primary climate-related risk driver

Reputation	Increased stakeholder concern or negative stakeholder feedback
------------	--

Primary potential financial impact

Decreased revenues due to reduced demand for products and services

Climate risk type mapped to traditional financial services industry risk classification

Reputational risk

Company-specific description

Ameriprise's reputation is one of our most important assets. Our ability to attract and retain clients, investors, employees and advisors is highly dependent upon external perceptions of our company. Damage to our reputation could cause significant harm to our business and prospects. Reputational damage may arise from numerous sources including litigation or regulatory actions, failing to deliver minimum standards of service and quality, compliance failures, and clients' or potential clients' perceived failure of how we address certain political, environmental, social or governance topics, including climate change. Additionally, a failure to develop new products and services, or successfully manage associated operational risks, could harm our reputation and potentially expose us to additional costs, or negative public relations or social media campaigns. Any negative incidents can quickly erode trust and confidence, particularly if they result in adverse mainstream and social media publicity, governmental investigations or litigation. Adverse developments with respect to our industry may also, by association, negatively impact our reputation or result in greater regulatory or legislative scrutiny or litigation against us. We monitor these trends with a clear focus on meeting our clients' needs, supporting the business and maintaining regulatory compliance – all while ensuring we effectively manage our corporate reputation.

Time horizon

Medium-term

Likelihood

More likely than not

Magnitude of impact

Medium-high

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We do not disclose potential financial impacts.

Cost of response to risk

Description of response and explanation of cost calculation

Ameriprise has devoted management time and resources to ensuring our stakeholders understand how we operate the business responsibly. This primarily includes publishing a Responsible Business Report annually, with details about our sustainability priorities. Additionally, Columbia Threadneedle publishes its Climate Change report and other documents that describe its RI policies and overall approach.

Comment

Identifier

Risk 2

Where in the value chain does the risk driver occur?

Direct operations

Risk type & Primary climate-related risk driver

Emerging regulation	Enhanced emissions-reporting obligations
---------------------	--

Primary potential financial impact

Increased direct costs

Climate risk type mapped to traditional financial services industry risk classification

Policy and legal risk

Company-specific description

Any future changes to the laws and regulations applicable to our businesses, as well as changes to the interpretation and enforcement of such laws and regulations, may affect our operations and increase costs. Legislation could require changes to our business operations or our regulatory reporting relationships. Such changes may impact our business operations and profitability, increase our costs of doing business, and increase compliance costs. Ongoing changes to regulation and oversight of the financial industry may generate outcomes, the full impact of which cannot be immediately ascertained as government intervention could distort customary and expected commercial behavior. Ultimately the complexities and increased costs of legislative and regulatory changes could have an impact on our ability to offer cost-effective and innovative products to our clients.

This includes emerging climate-related regulations from our major regulators in multiple regions where we conduct business, including the U.S. Securities and Exchange Commissions (SEC), state regulators within the U.S., and the Financial Conduct Authority (FCA) in the United Kingdom.

Time horizon

Short-term

Likelihood

Virtually certain

Magnitude of impact

Medium

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We do not disclose potential financial impacts.

Cost of response to risk

Description of response and explanation of cost calculation

Compliance with emerging regulations related to climate change is resource intensive. We continue to commit management time and resources to ensure compliance with evolving regulations and to meet stakeholder expectations. We have dedicated personnel who track the implications of forthcoming regulation. Our Legal and Compliance teams review the implications of upcoming regulatory developments

Comment

Identifier

Risk 3

Where in the value chain does the risk driver occur?

Direct operations

Risk type & Primary climate-related risk driver

Acute physical	Heat wave
----------------	-----------

Primary potential financial impact

Increased indirect (operating) costs

Based on the location of our operations, additional drivers that may pose a risk include cold wave/frost, drought, flood, heavy precipitation, hurricane, storm, tornado, and wildfire.

Climate risk type mapped to traditional financial services industry risk classification

Operational risk

Company-specific description

Climate change may increase the frequency and severity of weather-related disasters. The occurrence of natural disasters including earthquakes, hurricanes, floods, tornadoes, fires, blackouts, and severe winter weather, could adversely affect our operations. Such disasters and catastrophes may damage our facilities, preventing our service providers, employees and financial advisors from performing their roles, or otherwise disturbing our ordinary business operations. These impacts could be particularly severe to the extent they affect access to physical facilities, the physical well-being of large numbers of our employees, our computer-based data processing, transmission, storage and retrieval systems, and destroy or release valuable data.

Time horizon

Long-term

Likelihood

Unlikely

Magnitude of impact

Low

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We do not disclose potential financial impacts

Cost of response to risk**Description of response and explanation of cost calculation**

We consider the physical impacts of acute and chronic weather events to our facilities and business continuity. Our business continuity, real estate and security teams partner to evaluate possible impacts and address as necessary. We hold insurance on our facilities which helps to mitigate the potential financial impact of physical climate risks. Disaster recovery plans are also in place.

Comment

We do not disclose potential financial impacts

Identifier

Risk 4

Where in the value chain does the risk driver occur?

Investing (Asset manager) portfolio

Risk type & Primary climate-related risk driver

Market	Changing customer behavior
--------	----------------------------

Primary potential financial impact

Decreased revenues due to reduced demand for products and services

Climate risk type mapped to traditional financial services industry risk classification

Market risk

Company-specific description

The expectations of some clients for the management of climate risk are evolving, and managers who do not keep pace with such expectations may put assets at risk.

Time horizon

Short-term

Likelihood

Please select

Magnitude of impact

Please select

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We do not disclose potential financial impacts.

Cost of response to risk

Description of response and explanation of cost calculation

Columbia Threadneedle has developed data, risk management and reporting processes for relevant Investment teams to ensure that climate-related risks are considered and can be reported to clients as appropriate.

Comment

Identifier

Risk 5

Where in the value chain does the risk driver occur?

Investing (Asset manager) portfolio

Risk type & Primary climate-related risk driver

Current regulation	Mandates on and regulation of existing products and services
--------------------	--

Primary potential financial impact

Increased direct costs

Climate risk type mapped to traditional financial services industry risk classification

Strategic risk

Company-specific description

The performance of the investment portfolios we manage may be impacted by climate-related regulation or policy.

Time horizon

Short-term

Likelihood

Please select

Magnitude of impact

Please select

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We do not disclose potential financial impacts.

Cost of response to risk

Description of response and explanation of cost calculation

Columbia Threadneedle's investment teams in relevant asset classes have processes in place to identify potential investment-related risks and opportunities arising from climate change, described in the Strategy section of their Climate Change Report June 2023. Six dedicated environmental experts in our RI team support this work.

Comment

Identifier

Risk 6

Where in the value chain does the risk driver occur?

Investing (Asset manager) portfolio

Risk type & Primary climate-related risk driver

Acute physical	Other, please specify (All of the additional weather-related events that are listed as drivers could potentially impact our investment portfolio.)
----------------	--

Primary potential financial impact

Reduced profitability of investment portfolios

Climate risk type mapped to traditional financial services industry risk classification

Liquidity risk

Company-specific description

A range of physical climate events could impact underlying asset performance. This could be particularly marked in certain regions (those subject to the greatest climate stress), and certain industries (such as agriculture and electric utilities).

Time horizon

Medium-term

Likelihood

Please select

Magnitude of impact

Please select

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We do not disclose potential financial impacts.

Cost of response to risk

Description of response and explanation of cost calculation

Columbia Threadneedle's risk analysis in relevant asset classes and sectors incorporates physical climate risk data, where available. Mindful of the limitations of current data, they have written a research note on the challenges in the data currently available to the industry to support the assessment of physical risk, and follow industry initiatives such as the work of the UK Centre for Greening Finance and Investment.

Physical risk is also a focus area for their Active Ownership program, with a focus in 2023 on engaging with European domiciled companies in the food, construction, utilities, and chemicals industries, based on our analysis of exposure to physical risks.

Comment

Identifier

Risk 7

Where in the value chain does the risk driver occur?

Insurance underwriting portfolio

Risk type & Primary climate-related risk driver

Acute physical	Other, please specify (All of the additional weather-related events that are listed as drivers could potentially impact our portfolio.)
----------------	---

Primary potential financial impact

Increased direct costs

All of the additional weather-related events that are listed as drivers could potentially impact our insurance claims.

Climate risk type mapped to traditional financial services industry risk classification

Insurance risk

Company-specific description

Climate change may increase the frequency and severity of weather-related disasters that may impact insurance claims. The potential effects of natural disasters include but are not limited to the following: (i) a catastrophic loss of life may materially increase the amount of or accelerate the timing in which benefits are paid under our insurance policies; (ii) an increase in claims and any resulting increase in claims reserves caused by a disaster may harm the financial condition of our reinsurers, thereby impacting the cost and availability of reinsurance and the probability of default on reinsurance recoveries.

Time horizon

Medium-term

Likelihood

About as likely as not

Magnitude of impact

Medium-low

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We do not disclose potential financial impacts.

Cost of response to risk

Description of response and explanation of cost calculation

Comment

C2.4

(C2.4) Have you identified any climate-related opportunities with the potential to have a substantive financial or strategic impact on your business?

Yes

C2.4a

(C2.4a) Provide details of opportunities identified with the potential to have a substantive financial or strategic impact on your business.

Identifier

Opp1

Where in the value chain does the opportunity occur?

Investing (Asset manager) portfolio

Opportunity type

Products and services

Primary climate-related opportunity driver

Shift in consumer preferences

Primary potential financial impact

Increased revenues resulting from increased demand for products and services

Company-specific description

Increasing client demand for products or services that meet their expectations around managing climate risk and opportunities could result in inflows into Columbia Threadneedle climate-related products or services, largely in EMEA.

Time horizon

Short-term

Likelihood

Please select

Magnitude of impact

Please select

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We do not disclose potential financial impacts.

Cost to realize opportunity

Strategy to realize opportunity and explanation of cost calculation

Columbia Threadneedle has a long track record in developing RI products, including strategies with a specific climate-related focus, largely in EMEA. For specific clients, the evolution of our data tools will support our analysis and reporting of climate transition readiness of investee companies in listed asset classes to support future client requests.

Comment

See above – we would not disclose potential revenues related to this opportunity.

Identifier

Opp2

Where in the value chain does the opportunity occur?

Direct operations

Opportunity type

Products and services

Primary climate-related opportunity driver

Reputational benefits resulting in increased demand for goods/services

Primary potential financial impact

Increased revenues resulting from increased demand for products and services

Company-specific description

In addition to the RI capability within Columbia Threadneedle, Ameriprise offers clients and advisors a range of both non-ESG and RI/Thematic ESG products (aka Impact and Sustainable investing) and solutions from third-party asset managers within mutual fund, ETF and separate account investment vehicles. We also provide Ameriprise advisors with our perspective on investment trends, including ESG topics, through the Ameriprise Investment Research Group. In addition to third party asset managers offerings, Ameriprise has developed a portfolio series within our Active Portfolios® program -- Active Sustainable Investing World Portfolios -- which focuses on growth and incorporates ESG factors into investment decisions.

Time horizon

Short-term

Likelihood

Very likely

Magnitude of impact

Unknown

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We would note that we do not disclose potential financial impacts.

Cost to realize opportunity**Strategy to realize opportunity and explanation of cost calculation**

Ameriprise will research and develop ESG products and services as client and advisor demands and investment availability evolve.

Comment

See above – we would not disclose potential revenues related to this opportunity.

Identifier

Opp3

Where in the value chain does the opportunity occur?

Direct operations

Opportunity type

Resource efficiency

Primary climate-related opportunity driver

Move to more efficient buildings

Primary potential financial impact

Reduced indirect (operating) costs

Company-specific description

Within the real estate Ameriprise controls, we are focused on operating our buildings efficiently.

- Our corporate-controlled real estate, the Ameriprise Financial Center and the Ameriprise Client Service Center, are ENERGY STAR certified.
- The Ameriprise Financial Center was the first building in Minneapolis to receive the U.S. Green Building Council's Leadership in Energy and Environmental Design (LEED) — Existing Building certification. The Financial Center received LEED Silver Certification and our Client Service Center received LEED Gold Certification.
- In 2021, Columbia Threadneedle moved its Boston Headquarters to a LEED Platinum certified building at Atlantic Wharf, reducing our square footage considerably at the same time.
- Our New York City headquarters is located in World Trade Center, a Certified LEED Gold building.
- At our Columbia Threadneedle headquarters in London, we are a tenant in the award-winning Cannon Place, which is BREEAM Recognized.
- At our Gurugram facility in India, environmental initiatives include a rainwater harvesting system and conversion of diesel generators to run on natural gas.

We would note that over the next few years, Ameriprise will execute a thoughtful, planned transition of our Minneapolis headquarters building to the Ameriprise Client Service Center in downtown Minneapolis, which will be renamed the Ameriprise Financial Headquarters. As part of this initiative and at other locations we are evaluating and implementing where feasible a shared workplace environment which could reduce the size of our office footprint. We will provide more information about the environmental benefits in subsequent reports.

Time horizon

Medium-term

Likelihood

Virtually certain

Magnitude of impact

Medium

Are you able to provide a potential financial impact figure?

No, we do not have this figure

Potential financial impact figure (currency)

<Not Applicable>

Potential financial impact figure – minimum (currency)

<Not Applicable>

Potential financial impact figure – maximum (currency)

<Not Applicable>

Explanation of financial impact figure

We would note that we do not disclose potential financial impacts.

Cost to realize opportunity

Strategy to realize opportunity and explanation of cost calculation

We partner with our local utilities in Minneapolis and participate in load shedding to help reduce overall demand. We also continue to look for ways that we can increase efficiency in our buildings through routine maintenance, upgrades and renovations. For example, we have reduced energy consumption by installing automatic light sensors, adjusting heating and cooling processes, and upgrading to lower wattage light bulbs. And we participate in Xcel Energy's Commercial Efficiency Program to evaluate and implement energy efficiency projects. As noted above, we are also working to consolidate our footprint at our Minneapolis campus.

Comment

See above – we would not disclose potential revenues related to this opportunity.

C3. Business Strategy

C3.1

(C3.1) Does your organization's strategy include a climate transition plan that aligns with a 1.5°C world?

Row 1

Climate transition plan

No, our strategy has been influenced by climate-related risks and opportunities, but we do not plan to develop a climate transition plan within two years

Publicly available climate transition plan

<Not Applicable>

Mechanism by which feedback is collected from shareholders on your climate transition plan

<Not Applicable>

Description of feedback mechanism

<Not Applicable>

Frequency of feedback collection

<Not Applicable>

Attach any relevant documents which detail your climate transition plan (optional)

<Not Applicable>

Explain why your organization does not have a climate transition plan that aligns with a 1.5°C world and any plans to develop one in the future

At the enterprise level, we have not made a decision regarding a formal transition plan. We are learning from the work that is being done within Columbia Threadneedle, as it relates to TCFD, its net zero target for portfolios, and its operational emissions targets. This is ongoing and will be also informed by regulatory requirements.

Explain why climate-related risks and opportunities have not influenced your strategy

<Not Applicable>

C3.2

(C3.2) Does your organization use climate-related scenario analysis to inform its strategy?

	Use of climate-related scenario analysis to inform strategy	Primary reason why your organization does not use climate-related scenario analysis to inform its strategy	Explain why your organization does not use climate-related scenario analysis to inform its strategy and any plans to use it in the future
Row 1	Yes, qualitative and quantitative	<Not Applicable>	<Not Applicable>

C3.2a

(C3.2a) Provide details of your organization's use of climate-related scenario analysis.

Climate-related scenario	Scenario analysis coverage	Temperature alignment of scenario	Parameters, assumptions, analytical choices		
<table border="1"> <tr> <td>Transition scenarios</td> <td>NGFS scenarios framework</td> </tr> </table>	Transition scenarios	NGFS scenarios framework	Portfolio	<Not Applicable>	<p>One of the scenario analysis tools used by Columbia Threadneedle is Climate Value at Risk. They scrutinize climate value at risk data for client portfolios and benchmarks. The data provides a relative guide on the potential upside or downside impact to a portfolio from climate risks, under a variety of climate scenarios.</p> <p>The scenarios are based on the Network for Greening the Financial System (NGFS) public scenarios and are modelled using the REMIND model20. They compare the portfolio's value at risk compared to its benchmark under three different scenarios: orderly transition, disorderly transition and hothouse world.</p>
Transition scenarios	NGFS scenarios framework				

C3.2b

(C3.2b) Provide details of the focal questions your organization seeks to address by using climate-related scenario analysis, and summarize the results with respect to these questions.

Row 1

Focal questions

The scenario analysis data enables portfolio managers to assess the range of outcomes for each portfolio under the different scenarios compared to its benchmark, which indicates the level of sensitivity of the portfolio compared to its benchmark to different climate outcomes. The investment risk teams can compare these results across the portfolios they run to identify portfolios with potentially higher negative exposure.

For more information about how Columbia Threadneedle incorporates scenario analysis to understand the different sensitivities of their investment holdings and portfolios, see their Climate Change Report June 2023.

Results of the climate-related scenario analysis with respect to the focal questions

The results have been published in Columbia Threadneedle's product-level TCFD reports, which are currently publicly available for the UK-domiciled pooled funds.

C3.3

(C3.3) Describe where and how climate-related risks and opportunities have influenced your strategy.

	Have climate-related risks and opportunities influenced your strategy in this area?	Description of influence
Products and services	Yes	<p>As sustainability trends evolve, we expect some of our Wealth Management clients and advisors will be interested in allocating assets to RI/ESG strategies and solutions over time. We offer a range of RI/Thematic ESG products and solutions from third-party asset managers within mutual fund, ETF and separate account investment vehicles, as they consider the impact of environmental factors (in addition to social and governance factors) on their investments. We have a robust due diligence process that helps ensure our clients receive quality investments. For sustainable and impact mutual funds, ETFs and separately managed accounts, we take additional steps to help determine if an investment centrally factors ESG into decisions. We also provide advisors with the essential fundamentals of ESG investing, including where appropriate links to Columbia Threadneedle and third-party asset manager materials and training.</p> <p>Through Columbia Threadneedle we offer investment solutions that allow investors to place extra emphasis on managing climate risks and opportunities in their portfolios. These strategies are available to institutional investors globally and can be tailored to specific goals. Columbia Threadneedle has a long track record in developing RI products in EMEA, including many with a specific climate-related focus. For specific clients, the evolution of our data tools and capabilities will support our analysis and reporting of climate transition readiness of investee companies in listed asset classes to support future client needs. For more information and examples of current strategies, see Columbia Threadneedle's Climate Change Report June 2023.</p>
Supply chain and/or value chain	Evaluation in progress	<p>Climate-related risks and opportunities have not yet influenced our supply chain strategy, as we are initially focused on evaluating the risks and opportunities on our operations, relevant products and services, and investment in research and development. We are beginning the process and developing the governance to evaluate the impact of climate-related risks and opportunities on our supply chain, understanding the evolving regulatory environment in the U.S. and abroad.</p>
Investment in R&D	Yes	<p>As a research-driven active manager, Columbia Threadneedle believes that the integration of financially material ESG factors into investment research builds a fuller picture of the risks and opportunities and future return prospects of the companies, debt issuers and assets we invest, or look to invest in.</p> <p>ESG factors are therefore integrated into the fundamental research process, led by a team of approximately 200 analysts and research associates at Columbia Threadneedle. Working collaboratively across all major asset classes, the team turns information and data into forward-looking insights that can add real value to investment decisions, enhancing the ability to deliver on our clients' desired financial and non-financial outcomes.</p> <p>Ameriprise recognizes that the focus on climate change will be an important consideration within economies and the regulatory environment in the markets where we conduct business, in both the short and long term. The nature of these changes will shape our strategy around how we manage climate-related risks and opportunities within R&D, in the short, medium and long term.</p>
Operations	Yes	<p>Ameriprise's operations are impacted by climate-related risks and opportunities, which influences our real estate management strategy. We manage the risks and opportunities of climate change across our physical footprint. Our enterprise risk management process includes assessing, protecting against and mitigating the physical risks of catastrophic weather events affecting the company, our clients and our policyholders. Within the real estate Ameriprise controls, we are focused on operating our buildings efficiently and reducing waste.</p> <p>For example, we partner with our local utilities in Minneapolis and participate in load shedding to help reduce overall demand. We also participate in Xcel Energy's Commercial Efficiency Program to evaluate and implement energy efficiency projects. We have reduced energy consumption by installing automatic light sensors, reducing heating and cooling, and upgrading to lower wattage light bulbs. At our Minneapolis and Boston sites, we've introduced a composting program to gather and dispose of compostable materials and food waste throughout the buildings.</p> <p>The time horizon varies for these projects and strategies, depending on what they are. Generally, capital investments, equipment replacements, and other building systems are considered on a long-term horizon. Specifically in the short-term, we are working to consolidate our footprint in Minneapolis.</p>

C3.4

(C3.4) Describe where and how climate-related risks and opportunities have influenced your financial planning.

	Financial planning elements that have been influenced	Description of influence
Row 1	Revenues Indirect costs Acquisitions and divestments	<p>Revenues: As client demand evolves in light of climate-related issues, revenues for Ameriprise could be impacted. As noted previously, Ameriprise offers sustainable and impacts investments, mutual funds, and ETFs in every major asset category. Ameriprise also offers Separately Managed Accounts and an Active Portfolio series. Our Investment Research Group evaluates this product offering annually, and all products meet our due diligence standards. To help advisors find ESG solutions, we are adding sustainable screens to advisor tools. As clients seek to include ESG factors or achieve specific sustainable outcomes, we seek to offer quality products that meet their demand.</p> <p>Indirect Costs: In 2022, Ameriprise purchased 22.161M kWh of Renewable Energy Certificates (RECs) to offset the 2022 energy consumption of the two buildings that make up the 1.8 million square foot Minneapolis campus. We are in the process of evaluating the annual purchase of RECs and may consider changes to this process in future years based on our climate strategy. Additionally, climate-related risks could impact our operating costs and related financial planning with regards to energy costs.</p> <p>Acquisitions: The acquisition of BMO Financial Group's European-based asset management business in 2021 provided complementary capabilities to further evolve our Responsible Investment approach, including as it relates to the consideration of climate change.</p>

C3.5

(C3.5) In your organization's financial accounting, do you identify spending/revenue that is aligned with your organization's climate transition?

	Identification of spending/revenue that is aligned with your organization's climate transition	Indicate the level at which you identify the alignment of your spending/revenue with a sustainable finance taxonomy
Row 1	No, and we do not plan to in the next two years	<Not Applicable>

C-FS3.6

(C-FS3.6) Does the policy framework for your portfolio activities include climate-related requirements for clients/investees, and/or exclusion policies?

	Policy framework for portfolio activities that include climate-related requirements for clients/investees, and/or exclusion policies	Explain why the policy framework for your portfolio activities do not include climate-related requirements for clients/investees, and/or exclusion policies
Row 1	Yes, our policies include climate-related requirements that clients/investees need to meet	<Not Applicable>

C-FS3.6a

(C-FS3.6a) Provide details of the policies which include climate-related requirements that clients/investees need to meet.

Portfolio

Investing (Asset manager)

Type of policy

Sustainable/Responsible Investment Policy

Portfolio coverage of policy

4.5

Policy availability

Publicly available

Attach documents relevant to your policy

Columbia Threadneedle Responsible Investment Policy and Approach
en_responsible_investment_policy.pdf

Criteria required of clients/investees

No criteria required

Value chain stages of client/investee covered by criteria

Direct operations only

Timeframe for compliance with policy criteria

No timeframe

Industry sectors covered by the policy

Energy
Materials
Utilities

Exceptions to policy based on

Please select

Explain how criteria required, criteria coverage and/or exceptions have been determined

Columbia Threadneedle does not have firm-wide exclusion policies. However, specific funds/strategies, largely in EMEA, have certain exclusion policies where it is in accordance with a client's investment guidelines. See answer for C-FS3.6c, below.

C-FS3.6c

(C-FS3.6c) Why does the policy framework for your portfolio activities not include climate-related requirements for clients/investees, and/or exclusion policies?

As an active asset manager and long-term investor, we act as a fiduciary under federal and state law (or other applicable law outside of the U.S.) to our clients to help them meet their investment objectives. As a fiduciary, we invest on behalf of clients in accordance with a client's investment guidelines, and these individual client guidelines may limit or restrict our ability to purchase certain securities in their individual account.

C-FS3.7

(C-FS3.7) Does your organization include climate-related requirements in your selection process and engagement with external asset managers?

	Climate-related requirements included in selection process and engagement with external asset managers	Primary reason for not including climate-related requirements in selection process and engagement with external asset managers	Explain why climate-related requirements are not included in selection process and engagement with external asset managers and your plans for the future
Row 1	Yes	<Not Applicable>	<Not Applicable>

C-FS3.7a

(C-FS3.7a) Provide details of the climate-related requirements included in your selection process and engagement with external asset managers.

Coverage

Majority of assets managed externally

Mechanisms used to include climate-related requirements in external asset manager selection

Review investment manager's climate-related policies

Describe how you monitor and engage with asset managers to ensure investment activities are consistent with your climate strategy

Columbia Threadneedle's multi-asset team invests in external investment trusts and works to develop solutions in partnership with fiduciary clients. Part of their process is asking a number of ESG questions that include inquiries on the environment and Net Zero. The team then follows up, where necessary, with the managers.

C-FS3.8

(C-FS3.8) Does your organization include covenants in financing agreements to reflect and enforce your climate-related policies?

	Climate-related covenants in financing agreements	Primary reason for not including climate-related covenants in financing agreements	Explain why your organization does not include climate-related covenants in financing agreements and your plans for the future
Row 1	No, and we do not plan to include climate-related covenants in the next two years	Judged to be unimportant, explanation provided	Ameriprise Bank, FSB, provides banking capabilities for clients, offered by Ameriprise advisors within our advice and wealth management business. In terms of risk management considerations as it relates to climate, Ameriprise Bank is part of Ameriprise and operates within our enterprise risk management framework.

C4. Targets and performance

C4.1

(C4.1) Did you have an emissions target that was active in the reporting year?

No target

C4.1c

(C4.1c) Explain why you did not have an emissions target, and forecast how your emissions will change over the next five years.

	Primary reason	Five-year forecast	Please explain
Row 1	Insufficient data on operations	It is difficult to forecast how emissions will change over the next five years because the processes around data governance, methodologies for calculating emissions and the regulatory environment are all still evolving. We will continue to look for ways to increase efficiencies, which should result in decreased emissions.	<p>Since 2022, we have provided CDP with an estimated carbon footprint for Ameriprise that includes Scope 1 and 2 GHG emissions for real estate that we occupy and portions of Scope 3 emissions where we have relevant data. Our progress in building an emissions reporting capability and the evolution of data availability and governance will help inform future decisions related to how we appropriately manage the risks and opportunities of climate change, and how we consider the topic of emissions targets.</p> <p>With regard to emissions data reporting, we would note that there are limitations on data availability, and we fully expect that we will continue to enhance our emissions data over time to align to best practice methodologies and improved data quality and availability.</p> <p>Separately, we would note that for their operational emissions, Columbia Threadneedle has set an interim target of a 50% reduction by 2030, using 2019 baseline data. For more information see their Climate Change Report June 2023.</p> <p>Ameriprise will learn from the work within Columbia Threadneedle as it relates to reducing emissions from our operations.</p>

C4.2

(C4.2) Did you have any other climate-related targets that were active in the reporting year?

Net-zero target(s)

C4.2c

(C4.2c) Provide details of your net-zero target(s).

Target reference number

NZ1

Target coverage

Investing (Asset manager)

Absolute/intensity emission target(s) linked to this net-zero target

Abs1

Target year for achieving net zero

2050

Is this a science-based target?

Yes, we consider this a science-based target, but we have not committed to seek validation of this target by the Science Based Targets initiative within the next two years

Please explain target coverage and identify any exclusions

As a signatory to the Net Zero Asset Management Initiative (NZAMI), Columbia Threadneedle aspires to reach net zero emissions by 2050 or sooner across all assets under management, working in partnership with our clients. Consistent with Columbia Threadneedle's client-centric model, reaching this aspiration depends on the mandates agreed with clients and the regulatory environments within which we all operate. Columbia Threadneedle is using the Net Zero Investment Framework (NZIF) as a basis for their methodology for corporate equities and debt and as of October 2022 they reported an interim target for Net Zero committed funds of 7.36% of total assets under management. For more information on target coverage and exclusions, see their Climate Change Report June 2023 and reporting on the NZAMI website Columbia Threadneedle Investments - The Net Zero Asset Managers initiative.

Do you intend to neutralize any unabated emissions with permanent carbon removals at the target year?

No

Planned milestones and/or near-term investments for neutralization at target year

<Not Applicable>

Planned actions to mitigate emissions beyond your value chain (optional)

C4.3

(C4.3) Did you have emissions reduction initiatives that were active within the reporting year? Note that this can include those in the planning and/or implementation phases.

Yes

C4.3a

(C4.3a) Identify the total number of initiatives at each stage of development, and for those in the implementation stages, the estimated CO2e savings.

	Number of initiatives	Total estimated annual CO2e savings in metric tonnes CO2e (only for rows marked *)
Under investigation	0	
To be implemented*	0	
Implementation commenced*	0	
Implemented*	10	
Not to be implemented	0	

C4.3b

(C4.3b) Provide details on the initiatives implemented in the reporting year in the table below.

Initiative category & Initiative type

Energy efficiency in buildings	Maintenance program
--------------------------------	---------------------

Estimated annual CO2e savings (metric tonnes CO2e)

Scope(s) or Scope 3 category(ies) where emissions savings occur

Scope 2 (location-based)
Scope 2 (market-based)

Voluntary/Mandatory

Voluntary

Annual monetary savings (unit currency – as specified in C0.4)

9910

Investment required (unit currency – as specified in C0.4)

Payback period

<1 year

Estimated lifetime of the initiative

16-20 years

Comment

This initiative refers to the energy savings projects completed in 2022 at the Minneapolis Headquarters and Client Service Center (CSC) locations. During renovation at the CSC we've upgraded lighting and HVAC to more efficient systems and also added low and no flow restroom devices to reduce our water consumption. The monetary savings represents the total amount received in rebates from our local utility provider. These are examples. This does not include an aggregated total of all initiatives underway. We have not calculated the estimated annual CO2e savings associated with these projects.

Initiative category & Initiative type

Waste reduction and material circularity	Waste reduction
--	-----------------

Estimated annual CO2e savings (metric tonnes CO2e)

Scope(s) or Scope 3 category(ies) where emissions savings occur

Scope 3 category 5: Waste generated in operations

Voluntary/Mandatory

Voluntary

Annual monetary savings (unit currency – as specified in C0.4)

Investment required (unit currency – as specified in C0.4)

Payback period

Please select

Estimated lifetime of the initiative

Please select

Comment

At our Financial Center and Client Service Center in Minneapolis, recyclable materials are transported to a local provider where more than 90% are sold to markets in the Midwest and 100% stay in North America. In the fall of 2021, we also rolled out a comprehensive composting program within our Minneapolis and Boston locations. As a result, all compostable waste is being diverted from landfills and incinerators. At our Minneapolis sites, any remaining waste that cannot be recycled or composted is taken to a local mass burn Waste to Energy facility. The waste is burned with the heat used to generate steam, which in turn powers turbines that generate enough electricity to supply over 26,000 homes with their annual energy needs. We have not calculated the estimated annual CO2e savings associated with these projects.

C4.3c

(C4.3c) What methods do you use to drive investment in emissions reduction activities?

Method	Comment
Partnering with governments on technology development	We partner with our local utilities in Minneapolis and participate in load shedding to help reduce overall demand. We also participate in Xcel Energy's Commercial Efficiency Program to evaluate and implement energy efficiency projects.
Compliance with regulatory requirements/standards	We have invested and will continue to invest substantial resources to ensure compliance with all regulatory requirements/standards, including those related to climate.
Employee engagement	Within our asset management business, Columbia Threadneedle has set an interim target of a 50% reduction by 2030, using 2019 baseline data. To accurately track progress over the long term, this is an intensity target based on emissions per full-time employee and is subject to change. They aim to achieve this through reduction of business flight emissions, office electricity consumption, and employee commuting emissions. Much of this work will be driven by the volunteer employee-led Environmental Advisory Groups in each region.
Other (Engagement)	Columbia Threadneedle engages with investee companies in order to encourage best practice in implementing net zero and energy transition strategies, which they expect will support their long-term net zero goal for portfolio emissions.

C-FS4.5

(C-FS4.5) Do any of your existing products and services enable clients to mitigate and/or adapt to the effects of climate change?

Yes

C-FS4.5a

(C-FS4.5a) Provide details of your existing products and services that enable clients to mitigate and/or adapt to climate change, including any taxonomy used to classify the products(s).

Product type/Asset class/Line of business

Investing	Other, please specify (Advice & Wealth Management services)
-----------	---

Taxonomy or methodology used to classify product

Internally classified

Description of product

We offer several third-party managed packaged solutions, including a series of mutual fund and ETF models focused on investing in a wide range of complex environmental, social and governance factors. We also actively curate an offering of mutual funds and ETFs that centrally factor sustainable and impact investing. Our mutual fund and ETF offerings span all major asset classes plus thematic areas, such as low carbon footprint.

Active Portfolios® investments are one option in our advisory solutions. The Active Sustainable Investing World Portfolios may be suitable for clients who seek focus on sustainable investing for a wide range of complex environmental, social and governance (ESG) factors.

Product enables clients to mitigate and/or adapt to climate change

Mitigation

Portfolio value (unit currency – as specified in C0.4)

2780000000

% of total portfolio value

Type of activity financed/insured or provided

Other, please specify (Brokerage mutual funds and ETFs that meet firm due diligence standards and centrally factor ESG. We also offer Separately Managed Accounts and third-party managed portfolios that consider complex environmental, social and governance factors.)

Product type/Asset class/Line of business

Investing	Other, please specify (See product types/asset classes included in the description of product below)
-----------	--

Taxonomy or methodology used to classify product

Internally classified

Description of product

The following are examples of products and services offered through our asset management business, Columbia Threadneedle, primarily in EMEA.

Carbon Neutral Real Estate strategy (real estate): Our Carbon Neutral Real Estate strategy, developed in 2010 as a joint venture between Columbia Threadneedle, the Carbon Trust and Stanhope, aims to reduce the carbon emissions of the built environment. Together with our operating partners, we identify suitable office buildings and turn them into modern, energy-efficient workplaces, while generating returns for investors. We then let and manage the buildings to ensure ongoing management and reduction of energy wastage.

Climate Opportunity Partners private equity fund (private equity): Our Climate Opportunity Partners private equity fund¹⁴ provides its investors access to investment opportunities arising from global efforts to tackle the causes and impacts of climate change.

Future Environment Fund (equity): The Fund has sustainable investment as its objective by investing in companies that contribute positively to the environment with exposure to the Environmental Themes.

European Sustainable Infrastructure strategy (infrastructure): Our European Sustainable Infrastructure strategy actively targets environmental and social outcomes,

including investing in assets supporting Europe's energy transition.

Responsible Engagement Overlay (reo) (other): Clients of our engagement and voting service benefit from a comprehensive approach to active ownership across their assets, wherever they may be managed. Climate change is one of the seven key themes covered by this service.

Product enables clients to mitigate and/or adapt to climate change

Mitigation

Portfolio value (unit currency – as specified in C0.4)

% of total portfolio value

Type of activity financed/insured or provided

Other, please specify (Various - dependent on the product or service - see information above)

Product type/Asset class/Line of business

Investing	Other, please specify (This service extends across all business lines within Ameriprise Financial)
-----------	--

Taxonomy or methodology used to classify product

Internally classified

Description of product

Ameriprise is committed to reducing paper use and providing innovative delivery options for our clients. We've seen a positive trend over time toward client e-delivery. Since 2019, we've promoted and encouraged e-delivery and recently expanded our efforts to add tax documents.

- Since 2008, more than 1.6 million clients have switched to electronic delivery, saving both millions of pounds of paper and the added cost of printing and mailing statements and confirmations.
- In 2022, more than half of our clients received statements online and more than 65% were signed up for at least one type of online document delivery.
- More than three-quarters of all client-signed documents are now electronic.
- Tax documents were made e-delivery eligible starting with the 2020 tax season. In the first two tax seasons, 25% of eligible clients elected e-delivery, reducing the number of tax packages being mailed by over 700,000 per year.
- Ameriprise was among the first public companies in the U.S. to allow our shareholders to access proxy materials online. In 2022, we mailed fewer than 8,400 proxies, down from 250,000 in 2007.

To encourage more clients to consider receiving documents via e-delivery, new clients default to receiving documents electronically, with the option to change that election to paper delivery. Ultimately, we believe in giving clients the choice, as we know some prefer paper for certain documents. We feel this results in the proper balance by encouraging e-delivery but not requiring it.

Product enables clients to mitigate and/or adapt to climate change

Mitigation

Portfolio value (unit currency – as specified in C0.4)

% of total portfolio value

Type of activity financed/insured or provided

Paperless/digital service

Product type/Asset class/Line of business

Investing	Other, please specify (Advice & Wealth Management services)
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Taxonomy or methodology used to classify product

Internally classified

Description of product

Ameriprise has made significant investments in its technology infrastructure that allows for clients to meet with their advisors virtually, via a secure platform. While these investments were made to support the company's leading client experience, they also have the benefit of allowing for less in-person meetings depending on the client's preference, which reduces overall transportation emissions. In addition, employees leverage the technology capabilities to allow for a flexible work from office/home environment, which contributes to its consistently strong employee engagement.

Product enables clients to mitigate and/or adapt to climate change

Mitigation

Portfolio value (unit currency – as specified in C0.4)

% of total portfolio value

Type of activity financed/insured or provided

Paperless/digital service

C5. Emissions methodology

C5.1

(C5.1) Is this your first year of reporting emissions data to CDP?

No

C5.1a

(C5.1a) Has your organization undergone any structural changes in the reporting year, or are any previous structural changes being accounted for in this disclosure of emissions data?

Row 1

Has there been a structural change?

Yes, an acquisition

Name of organization(s) acquired, divested from, or merged with

BMO Financial Group's European-based asset management business (BMO GAM EMEA)

Details of structural change(s), including completion dates

On Nov. 8, 2021, Ameriprise announced the successful completion of the acquisition of BMO Financial Group's European-based asset management business (BMO GAM EMEA). This brought 14 new office sites and significantly expanded our employee base. Emissions associated with these new sites and employees were not included in our 2021 greenhouse gas emissions inventory. Those emissions were factored into our 2022 inventory.

C5.1b

(C5.1b) Has your emissions accounting methodology, boundary, and/or reporting year definition changed in the reporting year?

	Change(s) in methodology, boundary, and/or reporting year definition?	Details of methodology, boundary, and/or reporting year definition change(s)
Row 1	No	<Not Applicable>

C5.1c

(C5.1c) Have your organization's base year emissions and past years' emissions been recalculated as a result of any changes or errors reported in C5.1a and/or C5.1b?

	Base year recalculation	Scope(s) recalculated	Base year emissions recalculation policy, including significance threshold	Past years' recalculation
Row 1	Yes	Scope 1 Scope 2, location-based Scope 2, market-based Scope 3	<p>Consistent with the methodology from the World Resource Institute (WRI)/World Business Council for Sustainable Development (WBCSD) GHG Protocol, Ameriprise revised its previously reported emissions for the years 2019-2021 to align to enhanced data availability which was used for 2022, allowing for more accurate comparisons year over year. The revised calculations are reflected in the data reported to CDP this year.</p> <p>Separately, Columbia Threadneedle also included emissions associated with its Nov. 2021 acquisition of BMO GAM EMEA in restating its 2019 base year. Information about Columbia Threadneedle's emissions can be found in its Climate Change Report June 2023 on ColumbiaThreadneedle.com.</p> <p>With regard to emissions data reporting, there are limitations on data availability and we fully expect that we will continue to enhance our emissions data over time to align to best practice methodologies and improved data quality and availability.</p> <p>Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.</p>	Yes

C5.2

(C5.2) Provide your base year and base year emissions.

Scope 1

Base year start

January 1 2019

Base year end

December 31 2019

Base year emissions (metric tons CO2e)

7511

Comment

Scope 2 (location-based)

Base year start

January 1 2019

Base year end

December 31 2019

Base year emissions (metric tons CO2e)

33115

Comment

Scope 2 (market-based)

Base year start

January 1 2019

Base year end

December 31 2019

Base year emissions (metric tons CO2e)

33115

Comment

Scope 3 category 1: Purchased goods and services

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 2: Capital goods

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 3: Fuel-and-energy-related activities (not included in Scope 1 or 2)

Base year start

January 1 2019

Base year end

December 31 2019

Base year emissions (metric tons CO2e)

7083

Comment

Scope 3 category 4: Upstream transportation and distribution

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 5: Waste generated in operations

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 6: Business travel

Base year start

January 1 2019

Base year end

December 31 2019

Base year emissions (metric tons CO2e)

13679

Comment

Scope 3 category 7: Employee commuting

Base year start

January 1 2019

Base year end

December 31 2019

Base year emissions (metric tons CO2e)

16510

Comment

Scope 3 category 8: Upstream leased assets

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 9: Downstream transportation and distribution

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 10: Processing of sold products

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 11: Use of sold products

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 12: End of life treatment of sold products

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 13: Downstream leased assets

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3 category 14: Franchises

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

Scope 3: Other (upstream)

Base year start

January 1 2019

Base year end

December 31 2019

Base year emissions (metric tons CO2e)

1910

Comment

This metric is emissions associated with employees working from home.

Scope 3: Other (downstream)

Base year start

Base year end

Base year emissions (metric tons CO2e)

Comment

(C5.3) Select the name of the standard, protocol, or methodology you have used to collect activity data and calculate emissions.

The Greenhouse Gas Protocol: A Corporate Accounting and Reporting Standard (Revised Edition)

C6. Emissions data

C6.1

(C6.1) What were your organization's gross global Scope 1 emissions in metric tons CO2e?

Reporting year

Gross global Scope 1 emissions (metric tons CO2e)

7497

Start date

January 1 2022

End date

December 31 2022

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Past year 1

Gross global Scope 1 emissions (metric tons CO2e)

7165

Start date

January 1 2021

End date

December 31 2021

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Past year 2

Gross global Scope 1 emissions (metric tons CO2e)

6564

Start date

January 1 2020

End date

December 31 2020

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Past year 3

Gross global Scope 1 emissions (metric tons CO2e)

7511

Start date

January 1 2019

End date

December 31 2019

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

C6.2

(C6.2) Describe your organization's approach to reporting Scope 2 emissions.

Row 1

Scope 2, location-based

We are reporting a Scope 2, location-based figure

Scope 2, market-based

We are reporting a Scope 2, market-based figure

Comment

Ameriprise purchased Renewable Energy Certificates (RECs) for the energy consumption of its Minneapolis and Eagan offices in the United States. Renewable Energy Guarantees of Origin (REGOs) were purchased for some UK sites. The impact of the renewable energy purchases is accounted for under market-based emissions.

C6.3

(C6.3) What were your organization's gross global Scope 2 emissions in metric tons CO2e?

Reporting year

Scope 2, location-based

23312

Scope 2, market-based (if applicable)

13873

Start date

January 1 2022

End date

December 31 2022

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Past year 1

Scope 2, location-based

34045

Scope 2, market-based (if applicable)

25034

Start date

January 1 2021

End date

December 31 2021

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Past year 2

Scope 2, location-based

30900

Scope 2, market-based (if applicable)

20988

Start date

January 1 2020

End date

December 31 2020

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Past year 3

Scope 2, location-based

33115

Scope 2, market-based (if applicable)

33115

Start date

January 1 2019

End date

December 31 2019

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

C6.4

(C6.4) Are there any sources (e.g. facilities, specific GHGs, activities, geographies, etc.) of Scope 1, Scope 2 or Scope 3 emissions that are within your selected reporting boundary which are not included in your disclosure?

No

C6.5

(C6.5) Account for your organization's gross global Scope 3 emissions, disclosing and explaining any exclusions.

Purchased goods and services

Evaluation status

Relevant, not yet calculated

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

Capital goods

Evaluation status

Relevant, not yet calculated

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

Fuel-and-energy-related activities (not included in Scope 1 or 2)

Evaluation status

Relevant, calculated

Emissions in reporting year (metric tons CO2e)

9327

Emissions calculation methodology

Fuel-based method

Percentage of emissions calculated using data obtained from suppliers or value chain partners

0

Please explain

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Upstream transportation and distribution

Evaluation status

Not evaluated

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

Waste generated in operations

Evaluation status

Relevant, not yet calculated

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

Business travel

Evaluation status

Relevant, calculated

Emissions in reporting year (metric tons CO2e)

17954

Emissions calculation methodology

Spend-based method
Distance-based method

Percentage of emissions calculated using data obtained from suppliers or value chain partners

86

Please explain

Emissions from actual air and rail travel distances were calculated using UK government air and rail business travel emission factors. Where only spend data was available, emissions were estimated using Environmentally-Extended Input-Output (EEIO) factors from the US EPA and UK governments.

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Employee commuting

Evaluation status

Relevant, calculated

Emissions in reporting year (metric tons CO2e)

13562

Emissions calculation methodology

Distance-based method

Percentage of emissions calculated using data obtained from suppliers or value chain partners

0

Please explain

Indian offices: distance travelled by the transport service used for employee commuting multiplied by emission factor for buses.

US offices: Applied employee headcount to average commuting distance by transport type estimated based on US National Household Travel Survey, multiplied by relevant fuel factors. Includes well-to-tank emissions.

UK and rest-of-world offices: Applied employee headcount to average commuting distance by transport type estimated based on UK Department for Transport multiplied by relevant fuel factors. Includes well-to-tank emissions.

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Upstream leased assets

Evaluation status

Not relevant, explanation provided

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

Leased assets are included in our scope 1 or 2 inventory as part of our organizational boundary

Downstream transportation and distribution

Evaluation status

Not relevant, explanation provided

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

There are no downstream transportation and distribution emissions associated with Ameriprise products and services

Processing of sold products

Evaluation status

Not relevant, explanation provided

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

Ameriprise's business processes do not include processing of intermediate products

Use of sold products

Evaluation status

Not relevant, explanation provided

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

This doesn't apply to the products and services that Ameriprise sells

End of life treatment of sold products

Evaluation status

Not relevant, explanation provided

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

There is no waste generated from the use of Ameriprise products and services at their end-of-life

Downstream leased assets

Evaluation status

Not evaluated

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

Franchises

Evaluation status

Relevant, not yet calculated

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

Other (upstream)

Evaluation status

Relevant, calculated

Emissions in reporting year (metric tons CO2e)

3695

Emissions calculation methodology

Average data method

Percentage of emissions calculated using data obtained from suppliers or value chain partners

0

Please explain

This metric is emissions associated with employees working from home. Employee headcount is applied to estimated natural gas and electricity consumption of employees working from home by country or region.

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Other (downstream)

Evaluation status

Emissions in reporting year (metric tons CO2e)

<Not Applicable>

Emissions calculation methodology

<Not Applicable>

Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

Please explain

C6.5a

(C6.5a) Disclose or restate your Scope 3 emissions data for previous years.

Past year 1

Start date

January 1 2021

End date

December 31 2021

Scope 3: Purchased goods and services (metric tons CO2e)

Scope 3: Capital goods (metric tons CO2e)

Scope 3: Fuel and energy-related activities (not included in Scopes 1 or 2) (metric tons CO2e)
8400

Scope 3: Upstream transportation and distribution (metric tons CO2e)

Scope 3: Waste generated in operations (metric tons CO2e)

Scope 3: Business travel (metric tons CO2e)
2100

Scope 3: Employee commuting (metric tons CO2e)
3324

Scope 3: Upstream leased assets (metric tons CO2e)

Scope 3: Downstream transportation and distribution (metric tons CO2e)

Scope 3: Processing of sold products (metric tons CO2e)

Scope 3: Use of sold products (metric tons CO2e)

Scope 3: End of life treatment of sold products (metric tons CO2e)

Scope 3: Downstream leased assets (metric tons CO2e)

Scope 3: Franchises (metric tons CO2e)

Scope 3: Investments (metric tons CO2e)
<Not Applicable>

Scope 3: Other (upstream) (metric tons CO2e)
8456

Scope 3: Other (downstream) (metric tons CO2e)

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Past year 2

Start date

January 1 2020

End date

December 31 2020

Scope 3: Purchased goods and services (metric tons CO2e)

Scope 3: Capital goods (metric tons CO2e)

Scope 3: Fuel and energy-related activities (not included in Scopes 1 or 2) (metric tons CO2e)
6025

Scope 3: Upstream transportation and distribution (metric tons CO2e)

Scope 3: Waste generated in operations (metric tons CO2e)

Scope 3: Business travel (metric tons CO2e)
2692

Scope 3: Employee commuting (metric tons CO2e)
10060

Scope 3: Upstream leased assets (metric tons CO2e)

Scope 3: Downstream transportation and distribution (metric tons CO2e)

Scope 3: Processing of sold products (metric tons CO2e)

Scope 3: Use of sold products (metric tons CO2e)

Scope 3: End of life treatment of sold products (metric tons CO2e)

Scope 3: Downstream leased assets (metric tons CO2e)

Scope 3: Franchises (metric tons CO2e)

Scope 3: Investments (metric tons CO2e)
<Not Applicable>

Scope 3: Other (upstream) (metric tons CO2e)
8809

Scope 3: Other (downstream) (metric tons CO2e)

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

Past year 3

Start date

January 1 2019

End date

December 31 2019

Scope 3: Purchased goods and services (metric tons CO2e)

Scope 3: Capital goods (metric tons CO2e)

Scope 3: Fuel and energy-related activities (not included in Scopes 1 or 2) (metric tons CO2e)
7083

Scope 3: Upstream transportation and distribution (metric tons CO2e)

Scope 3: Waste generated in operations (metric tons CO2e)

Scope 3: Business travel (metric tons CO2e)
13679

Scope 3: Employee commuting (metric tons CO2e)
16510

Scope 3: Upstream leased assets (metric tons CO2e)

Scope 3: Downstream transportation and distribution (metric tons CO2e)

Scope 3: Processing of sold products (metric tons CO2e)

Scope 3: Use of sold products (metric tons CO2e)

Scope 3: End of life treatment of sold products (metric tons CO2e)

Scope 3: Downstream leased assets (metric tons CO2e)

Scope 3: Franchises (metric tons CO2e)

Scope 3: Investments (metric tons CO2e)
<Not Applicable>

Scope 3: Other (upstream) (metric tons CO2e)
1910

Scope 3: Other (downstream) (metric tons CO2e)

Comment

Measurement uncertainties – The amounts reported in in this survey response are subject to measurement uncertainties resulting from limitations inherent in the nature and the methods used for determining such data. The selection of different but acceptable measurement techniques can result in materially different measurements. The precision of different measurement techniques may also vary.

C6.10

(C6.10) Describe your gross global combined Scope 1 and 2 emissions for the reporting year in metric tons CO2e per unit currency total revenue and provide any additional intensity metrics that are appropriate to your business operations.

Intensity figure

0.000001498

Metric numerator (Gross global combined Scope 1 and 2 emissions, metric tons CO2e)

21370

Metric denominator

unit total revenue

Metric denominator: Unit total

14264000000

Scope 2 figure used

Market-based

% change from previous year

18.3

Direction of change

Decreased

Reason(s) for change

Other emissions reduction activities

Change in revenue

Please explain

Our 2022 adjusted operating net revenue is \$14,264,000,000 and adjusted operating net revenue for 2021 is \$13,861,000,000. Our 2022 Scope 1 + Scope 2 market-based emissions totaled 21,370. Our 2021 Scope 1 + Scope 2 market-based emissions totaled 32,199. This resulted in a 18.3% decrease in this intensity figure from 2022 to 2021. The reason for this change can be attributed to increased revenue in 2022 and a decrease in reported gross global combined Scope 1 and 2 emissions in 2022, as a result of better data availability.

Intensity figure

1.53

Metric numerator (Gross global combined Scope 1 and 2 emissions, metric tons CO2e)

21370

Metric denominator

full time equivalent (FTE) employee

Metric denominator: Unit total

13935

Scope 2 figure used

Market-based

% change from previous year

38.8

Direction of change

Decreased

Reason(s) for change

Other emissions reduction activities

Acquisitions

Please explain

Our 2022 FTE is 13,935 and 2021 FTE is 12,883. Our 2022 Scope 1 + Scope 2 market-based emissions totaled 21,370. Our 2021 Scope 1 + Scope 2 market-based emissions totaled 32,199. This resulted in a 38.8% decrease in this intensity figure from 2022 to 2021. Although the number of FTE increased in 2022 mainly due to the acquisition of BMO GAM EMEA, a significant decrease in gross global combined Scope 1 and 2 emissions in 2022 resulted in a decrease of this intensity metric.

C7. Emissions breakdowns

C7.7

(C7.7) Is your organization able to break down your emissions data for any of the subsidiaries included in your CDP response?

Yes

C7.7a

(C7.7a) Break down your gross Scope 1 and Scope 2 emissions by subsidiary.

Subsidiary name

Columbia Threadneedle Investments

Primary activity

Asset managers

Select the unique identifier(s) you are able to provide for this subsidiary

No unique identifier

ISIN code – bond

<Not Applicable>

ISIN code – equity

<Not Applicable>

CUSIP number

<Not Applicable>

Ticker symbol

<Not Applicable>

SEDOL code

<Not Applicable>

LEI number

<Not Applicable>

Other unique identifier

<Not Applicable>

Scope 1 emissions (metric tons CO2e)

1205

Scope 2, location-based emissions (metric tons CO2e)

2509

Scope 2, market-based emissions (metric tons CO2e)

1707

Comment

Columbia Threadneedle Investments reports their emissions separately from Ameriprise, on page 33 of their Climate Change Report June 2023: https://docs.columbiathreadneedle.com/documents/Climate%20Change%20Report_TCFD.pdf?inline=true

C7.9

(C7.9) How do your gross global emissions (Scope 1 and 2 combined) for the reporting year compare to those of the previous reporting year?

Decreased

C7.9a

(C7.9a) Identify the reasons for any change in your gross global emissions (Scope 1 and 2 combined), and for each of them specify how your emissions compare to the previous year.

	Change in emissions (metric tons CO2e)	Direction of change in emissions	Emissions value (percentage)	Please explain calculation
Change in renewable energy consumption		<Not Applicable>		
Other emissions reduction activities		<Not Applicable>		
Divestment		<Not Applicable>		
Acquisitions	670	Increased	2	Ameriprise acquired BMO GAM EMEA in November 2021. 2022 was the first year that we incorporated emissions from the emissions sources associated with the acquisition.
Mergers		<Not Applicable>		
Change in output		<Not Applicable>		
Change in methodology		<Not Applicable>		
Change in boundary		<Not Applicable>		
Change in physical operating conditions		<Not Applicable>		
Unidentified		<Not Applicable>		
Other	11499	Decreased	36	Improved monitoring of actual consumption data year on year: In 2021, no consumption data or office size data was available, so estimates had to be made using proxy data entirely. Data quality improved from 2021 to 2022, and as data accuracy improved, it shows that actual electricity consumption is approximately half that of the estimates used for 2021. This resulted in a decrease of electricity consumption and resulting emissions reported for 2022.

C7.9b

(C7.9b) Are your emissions performance calculations in C7.9 and C7.9a based on a location-based Scope 2 emissions figure or a market-based Scope 2 emissions figure?

Market-based

C8. Energy

C8.1

(C8.1) What percentage of your total operational spend in the reporting year was on energy?

More than 0% but less than or equal to 5%

C8.2

(C8.2) Select which energy-related activities your organization has undertaken.

	Indicate whether your organization undertook this energy-related activity in the reporting year
Consumption of fuel (excluding feedstocks)	Yes
Consumption of purchased or acquired electricity	Yes
Consumption of purchased or acquired heat	No
Consumption of purchased or acquired steam	Yes
Consumption of purchased or acquired cooling	No
Generation of electricity, heat, steam, or cooling	No

C8.2a

(C8.2a) Report your organization's energy consumption totals (excluding feedstocks) in MWh.

	Heating value	MWh from renewable sources	MWh from non-renewable sources	Total (renewable and non-renewable) MWh
Consumption of fuel (excluding feedstock)	HHV (higher heating value)	0	34535	34535
Consumption of purchased or acquired electricity	<Not Applicable>	23687	32433	56120
Consumption of purchased or acquired heat	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Consumption of purchased or acquired steam	<Not Applicable>	0	6048	6048
Consumption of purchased or acquired cooling	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Consumption of self-generated non-fuel renewable energy	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Total energy consumption	<Not Applicable>	23687	73016	96703

C8.2g

(C8.2g) Provide a breakdown by country/area of your non-fuel energy consumption in the reporting year.

Country/area

Australia

Consumption of purchased electricity (MWh)

5.6

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

5.6

Country/area

Austria

Consumption of purchased electricity (MWh)

2.5

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

2.5

Country/area

Chile

Consumption of purchased electricity (MWh)

4.1

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

4.1

Country/area

Denmark

Consumption of purchased electricity (MWh)

3

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

3

Country/area

France

Consumption of purchased electricity (MWh)

86.5

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

86.5

Country/area

Germany

Consumption of purchased electricity (MWh)

439.8

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

439.8

Country/area

Hong Kong SAR, China

Consumption of purchased electricity (MWh)

16.5

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

16.5

Country/area

India

Consumption of purchased electricity (MWh)

2889.3

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

2889.3

Country/area

Italy

Consumption of purchased electricity (MWh)

80

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

80

Country/area

Japan

Consumption of purchased electricity (MWh)

9.1

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

9.1

Country/area

Luxembourg

Consumption of purchased electricity (MWh)

86.6

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

86.6

Country/area

Netherlands

Consumption of purchased electricity (MWh)

229.1

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

229.1

Country/area

Singapore

Consumption of purchased electricity (MWh)

64.8

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

64.8

Country/area

Republic of Korea

Consumption of purchased electricity (MWh)

27.3

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

27.3

Country/area

Spain

Consumption of purchased electricity (MWh)

263.2

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

263.2

Country/area

Sweden

Consumption of purchased electricity (MWh)

4.2

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

4.2

Country/area

Switzerland

Consumption of purchased electricity (MWh)

43.2

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

43.2

Country/area

United Kingdom of Great Britain and Northern Ireland

Consumption of purchased electricity (MWh)

3068.9

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

3068.9

Country/area

United Arab Emirates

Consumption of purchased electricity (MWh)

27.3

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

27.3

Country/area

United States of America

Consumption of purchased electricity (MWh)

48769.3

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

6048

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

54817.3

C9. Additional metrics

C9.1

(C9.1) Provide any additional climate-related metrics relevant to your business.

C10. Verification

C10.1

(C10.1) Indicate the verification/assurance status that applies to your reported emissions.

	Verification/assurance status
Scope 1	Third-party verification or assurance process in place
Scope 2 (location-based or market-based)	Third-party verification or assurance process in place
Scope 3	Third-party verification or assurance process in place

C10.1a

(C10.1a) Provide further details of the verification/assurance undertaken for your Scope 1 emissions, and attach the relevant statements.

Verification or assurance cycle in place

Annual process

Status in the current reporting year

Underway but not complete for reporting year – previous statement of process attached

Type of verification or assurance

Limited assurance

Attach the statement

Ameriprise CY21 GHG Assurance Statement.pdf

Page/ section reference

All

Relevant standard

ISO14064-3

Proportion of reported emissions verified (%)

100

C10.1b

(C10.1b) Provide further details of the verification/assurance undertaken for your Scope 2 emissions and attach the relevant statements.

Scope 2 approach

Scope 2 location-based

Verification or assurance cycle in place

Annual process

Status in the current reporting year

Underway but not complete for reporting year – previous statement of process attached

Type of verification or assurance

Limited assurance

Attach the statement

Ameriprise CY21 GHG Assurance Statement.pdf

Page/ section reference

All

Relevant standard

ISO14064-3

Proportion of reported emissions verified (%)

100

Scope 2 approach

Scope 2 market-based

Verification or assurance cycle in place

Annual process

Status in the current reporting year

Underway but not complete for reporting year – previous statement of process attached

Type of verification or assurance

Limited assurance

Attach the statement

Ameriprise CY21 GHG Assurance Statement.pdf

Page/ section reference

All

Relevant standard

ISO14064-3

Proportion of reported emissions verified (%)

100

C10.1c

(C10.1c) Provide further details of the verification/assurance undertaken for your Scope 3 emissions and attach the relevant statements.

Scope 3 category

Scope 3: Fuel and energy-related activities (not included in Scopes 1 or 2)

Scope 3: Business travel

Scope 3: Employee commuting

Verification or assurance cycle in place

Annual process

Status in the current reporting year

Underway but not complete for reporting year – previous statement of process attached

Type of verification or assurance

Limited assurance

Attach the statement

Ameriprise CY21 GHG Assurance Statement.pdf

Page/section reference

All

Relevant standard

ISO14064-3

Proportion of reported emissions verified (%)

100

C10.2

(C10.2) Do you verify any climate-related information reported in your CDP disclosure other than the emissions figures reported in C6.1, C6.3, and C6.5?

No, we do not verify any other climate-related information reported in our CDP disclosure

C11. Carbon pricing

C11.2

(C11.2) Has your organization canceled any project-based carbon credits within the reporting year?

No

C11.3

(C11.3) Does your organization use an internal price on carbon?

No, and we do not currently anticipate doing so in the next two years

C12. Engagement

C12.1

(C12.1) Do you engage with your value chain on climate-related issues?

Yes, our investees

Yes, other partners in the value chain

C-FS12.1c

(C-FS12.1c) Give details of your climate-related engagement strategy with your investees.

Type of engagement

Information collection (Understanding investee behavior)

Details of engagement

Other, please specify (Integrate material climate change information into investment thesis for companies)

% scope 3 emissions as reported in C-FS14.1a/C-FS14.1b

Investing (Asset managers) portfolio coverage

Investing (Asset owners) portfolio coverage

Rationale for the coverage of your engagement

Other, please specify (Investees with increased climate-related risks + Investees with the highest potential impact + Engagement with companies that do not meet best practice standards, using the Net Zero data tool)

Impact of engagement, including measures of success

In 2022 Columbia Threadneedle's RI team conducted 924 engagements on behalf of clients on climate change with 507 different companies. As our understanding of climate-related risks and opportunities have progressed, the content of many of these engagements has evolved from the discussion of the potential risks of climate change to the challenges of taking the steps necessary to achieve company targets.

In 2022 Columbia Threadneedle recorded more than 100 instances where issuers improved climate change policies and practices following engagement. Further details can be found in Columbia Threadneedle's 2022 Stewardship Report: <https://docs.columbiathreadneedle.com/documents/Columbia%20Threadneedle%20Investments%20-%20Stewardship%20Report.pdf?inline=true>

Type of engagement

Engagement & incentivization (changing investee behavior)

Details of engagement

Exercise active ownership

Support climate-related shareholder resolutions

Support climate-related issues in proxy voting

Implement a stewardship and engagement strategy, with a clear escalation and voting policy, that is consistent with our ambition for all assets under management to achieve net zero emissions by 2050 or sooner

Initiate and support dialogue with investee boards to set Paris-aligned strategies

Encourage better climate-related disclosure practices among investees

Encourage investees to set a science-based emissions reduction target

% scope 3 emissions as reported in C-FS14.1a/C-FS14.1b

Investing (Asset managers) portfolio coverage

Investing (Asset owners) portfolio coverage

Rationale for the coverage of your engagement

Other, please specify (Investees with increased climate-related risks + Investees with the highest potential impact + Engagement with companies that do not meet best practice standards, using the Net Zero data tool)

Impact of engagement, including measures of success

In 2022 Columbia Threadneedle's RI team conducted 924 engagements on behalf of clients on climate change with 507 different companies. As our understanding of climate-related risks and opportunities have progressed, the content of many of these engagements has evolved from the discussion of the potential risks of climate change to the challenges of taking the steps necessary to achieve company targets.

In 2022 Columbia Threadneedle recorded more than 100 instances where issuers improved climate change policies and practices following engagement. Further details can be found in Columbia Threadneedle's 2022 Stewardship Report: <https://docs.columbiathreadneedle.com/documents/Columbia%20Threadneedle%20Investments%20-%20Stewardship%20Report.pdf?inline=true>

Working in partnership with clients, Columbia Threadneedle aspires to reach net zero emissions by 2050 or sooner across all assets under management. We recognize that the transition to a low-carbon economy is complex and requires consideration of multiple geopolitical realities and unique market characteristics of the companies and assets in which we invest. Consistent with Columbia Threadneedle's client-centric model, reaching this aspiration depends on the mandates agreed with clients and the regulatory environments within which we operate.

Type of engagement

Innovation & collaboration (changing markets)

Details of engagement

Carry out collaborative engagements with other investors or institutions

% scope 3 emissions as reported in C-FS14.1a/C-FS14.1b

Investing (Asset managers) portfolio coverage

Investing (Asset owners) portfolio coverage

Rationale for the coverage of your engagement

Other, please specify (Investees with increased climate-related risks + Investees with the highest potential impact + Engagement with companies that do not meet best practice standards, using the Net Zero data tool)

Impact of engagement, including measures of success

For more information on Columbia Threadneedle's engagements see its Stewardship Report 2022.

(C12.1d) Give details of your climate-related engagement strategy with other partners in the value chain.

Our environmental stewardship reflects our ability to effectively measure, track and monitor our operational efficiency and GHG emissions. We maintain transparency by publishing information about our responsible use of resources, management of our energy-efficiency buildings, support of public transportation, waste-reduction efforts, and our approach to managing climate issues, and our responsible investment initiatives, in our annual Responsible Business Report (RBR). The RBR is available online at <https://www.ameriprise.com/about/responsible-business>

C-FS12.2

(C-FS12.2) Does your organization exercise voting rights as a shareholder on climate-related issues?

	Exercise voting rights as a shareholder on climate-related issues	Primary reason for not exercising voting rights as a shareholder on climate-related issues	Explain why you do not exercise voting rights on climate-related issues
Row 1	Yes	<Not Applicable>	<Not Applicable>

C-FS12.2a

(C-FS12.2a) Provide details of your shareholder voting record on climate-related issues.

Method used to exercise your voting rights as a shareholder

Exercise voting rights directly

How do you ensure your shareholder voting rights are exercised in line with your overall strategy or transition plan?

<Not Applicable>

Percentage of voting disclosed across portfolio

100

Climate-related issues supported in shareholder resolutions

Climate transition plans
Climate-related disclosures
Aligning public policy position (lobbying)
Emissions reduction targets
Board oversight of climate-related issues
Other, please specify (See Columbia Threadneedle's 2022 Stewardship Report for more information)

Do you publicly disclose the rationale behind your voting on climate-related issues?

Yes, for all

C12.3

(C12.3) Does your organization engage in activities that could either directly or indirectly influence policy, law, or regulation that may impact the climate?

Row 1

External engagement activities that could directly or indirectly influence policy, law, or regulation that may impact the climate

Yes, our membership of/engagement with trade associations could influence policy, law, or regulation that may impact the climate

Does your organization have a public commitment or position statement to conduct your engagement activities in line with the goals of the Paris Agreement?

No, and we do not plan to have one in the next two years

Attach commitment or position statement(s)

<Not Applicable>

Describe the process(es) your organization has in place to ensure that your external engagement activities are consistent with your climate commitments and/or climate transition plan

As participants in certain trade associations, we continually assess and contribute to the ongoing development of the organizations' positions on climate change and other relevant topics. We support the development of pragmatic industry positions and information sharing that contribute to (i) the industry's ability to provide clients with effective solutions to meet their objectives, both financial and non-financial; and (ii) enhance the quality of markets through the development of frameworks, such as on disclosure, that are proportionate and practical to meet the needs of investors.

Primary reason for not engaging in activities that could directly or indirectly influence policy, law, or regulation that may impact the climate

<Not Applicable>

Explain why your organization does not engage in activities that could directly or indirectly influence policy, law, or regulation that may impact the climate

<Not Applicable>

C12.3b

(C12.3b) Provide details of the trade associations your organization is a member of, or engages with, which are likely to take a position on any policy, law or regulation that may impact the climate.

Trade association

Business Roundtable

Is your organization's position on climate change policy consistent with theirs?

Consistent

Has your organization attempted to influence their position in the reporting year?

No, we did not attempt to influence their position

Describe how your organization's position is consistent with or differs from the trade association's position, and any actions taken to influence their position

Business Roundtable CEOs believe market-based solutions are the best approach to combating climate change. CEOs call for complementary suite of policies to drive innovation, significantly reduce greenhouse gas emissions and limit global temperature rise.

As participants in certain trade associations, we continually assess and contribute to the ongoing development of the organizations' positions on climate change and other relevant topics. We support the development of pragmatic industry positions and information sharing that contribute to (i) the industry's ability to provide clients with effective solutions to meet their objectives, both financial and non-financial; and (ii) enhance the quality of markets through the development of frameworks, such as on disclosure, that are proportionate and practical to meet the needs of investors.

Funding figure your organization provided to this trade association in the reporting year (currency as selected in C0.4)

Describe the aim of your organization's funding

<Not Applicable>

Have you evaluated whether your organization's engagement with this trade association is aligned with the goals of the Paris Agreement?

No, we have not evaluated

Trade association

US Chamber of Commerce

Is your organization's position on climate change policy consistent with theirs?

Consistent

Has your organization attempted to influence their position in the reporting year?

No, we did not attempt to influence their position

Describe how your organization's position is consistent with or differs from the trade association's position, and any actions taken to influence their position

The US Chamber of Commerce believes there is much common ground on which all sides of this discussion could come together to address climate change with policies that are practical, flexible, predictable and durable. The Chamber is supportive of a policy approach that acknowledges the costs of action and inaction and the competitiveness of the U.S. economy.

As participants in certain trade associations, we continually assess and contribute to the ongoing development of the organizations' positions on climate change and other relevant topics. We support the development of pragmatic industry positions and information sharing that contribute to (i) the industry's ability to provide clients with effective solutions to meet their objectives, both financial and non-financial; and (ii) enhance the quality of markets through the development of frameworks, such as on disclosure, that are proportionate and practical to meet the needs of investors.

Funding figure your organization provided to this trade association in the reporting year (currency as selected in C0.4)

Describe the aim of your organization's funding

<Not Applicable>

Have you evaluated whether your organization's engagement with this trade association is aligned with the goals of the Paris Agreement?

No, we have not evaluated

C12.4

(C12.4) Have you published information about your organization’s response to climate change and GHG emissions performance for this reporting year in places other than in your CDP response? If so, please attach the publication(s).

Publication

In voluntary sustainability report

Status

Underway – previous year attached

Attach the document

2023-responsible-business-report.pdf

Page/Section reference

2023 Responsible Business Report - Environmental Steward section - pages 28-31

Content elements

- Governance
- Strategy
- Risks & opportunities
- Emissions figures
- Emission targets

Comment

Publication

In mainstream reports, incorporating the TCFD recommendations

Status

Complete

Attach the document

Columbia Threadneedle Climate Change Report_TCFD_ June 2023.pdf

Page/Section reference

Columbia Threadneedle published its 2023 Climate Report in June.

Content elements

- Governance
- Strategy
- Risks & opportunities
- Emissions figures
- Emission targets

Comment

C12.5

(C12.5) Indicate the collaborative frameworks, initiatives and/or commitments related to environmental issues for which you are a signatory/member.

	Environmental collaborative framework, initiative and/or commitment	Describe your organization’s role within each framework, initiative and/or commitment
Row 1	Climate Action 100+ Institutional Investors Group on Climate Change (IIGCC) Net Zero Asset Managers initiative Task Force on Nature-related Financial Disclosures (TNFD) Transition Pathway Initiative Other, please specify (Asia Research & Engagement (ARE) - Energy Transition Platform; ChemScore; FAIRR; Nature Action 100; Investor Policy Dialogue on Deforestation; ShareAction Chemicals Working Group; SPOTT)	Columbia Threadneedle collaborates with other investors through various industry working groups to help inform our understanding of emerging ESG issues as well as share our learnings with the broader industry. For details about these engagements, see the Columbia Threadneedle Investments Stewardship Report 2022 on pages 69-76. https://docs.columbiathreadneedle.com/documents/Columbia%20Threadneedle%20Investments%20-%20Stewardship%20Report.pdf?inline=true

C14. Portfolio Impact

C-FS14.0

(C-FS14.0) For each portfolio activity, state the value of your financing and insurance of carbon-related assets in the reporting year.

Lending to all carbon-related assets

Are you able to report a value for the carbon-related assets?

No, and we do not plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Judged to be unimportant, explanation provided

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.

Details of calculation

<Not Applicable>

Lending to coal

Are you able to report a value for the carbon-related assets?

No, and we do not plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Judged to be unimportant, explanation provided

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.

Details of calculation

<Not Applicable>

Lending to oil and gas

Are you able to report a value for the carbon-related assets?

No, and we do not plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Judged to be unimportant, explanation provided

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.

Details of calculation

<Not Applicable>

Investing in all carbon-related assets (Asset manager)

Are you able to report a value for the carbon-related assets?

No, but we plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Lack of internal resources

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

We have made a decision not to provide a value for carbon-related assets. In 2023 Columbia Threadneedle plans to expand climate data availability by adding the net zero model into the portfolio management system and are in the process of making it available to investment teams. For more information see the Climate Change Report June 2023.

Details of calculation

<Not Applicable>

Investing in coal (Asset manager)

Are you able to report a value for the carbon-related assets?

No, but we plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Lack of internal resources

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

We have made a decision not to provide a value for carbon-related assets. In 2023 Columbia Threadneedle plans to expand climate data availability by adding the net zero model into the portfolio management system and are in the process of making it available to investment teams. For more information see the Climate Change Report June 2023.

Details of calculation

<Not Applicable>

Investing in oil and gas (Asset manager)

Are you able to report a value for the carbon-related assets?

No, but we plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Lack of internal resources

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

We have made a decision not to provide a value for carbon-related assets. In 2023 Columbia Threadneedle plans to expand climate data availability by adding the net zero model into the portfolio management system and are in the process of making it available to investment teams. For more information see the Climate Change Report June 2023.

Details of calculation

<Not Applicable>

Investing all carbon-related assets (Asset owner)**Are you able to report a value for the carbon-related assets?**

No, but we plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Other, please specify (We do capture the value of these assets within our owned asset portfolio, however we are not disclosing this information at this time.)

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

We are not publicly disclosing these values at this time. However, the assessment of the exposures of the individual companies (and their transition plans) are inputs into whether we will invest in said companies. Our research process incorporates ESG aspects as a means to enhance our view into strategic direction, capital allocation and culture that are critical in the underwriting of corporate management teams. Specifically, the research team incorporates MSCI and Columbia Threadneedle proprietary ratings and ESG material factors into its fundamental analysis. These ratings and key ESG material factors supplement analyst credit metric tables incorporated in company and industry thesis statements. As it relates to climate change specifically, the material factors that are evaluated include but are not limited to WACI, TCFD Carbon-Related Assets, GHG emissions, biodiversity & land, and water usage.

Details of calculation

<Not Applicable>

Investing in coal (Asset owner)**Are you able to report a value for the carbon-related assets?**

No, but we plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Other, please specify (We do not currently have any companies active in coal extraction in our portfolios but some utility holdings still utilize thermal coal in their power plants. However industry usage is expected to be phased out over time in the U.S.)

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

We are not publicly disclosing these values at this time. However, the assessment of the exposures of the individual companies (and their transition plans) are inputs into whether we will invest in said companies. Our research process incorporates ESG aspects as a means to enhance our view into strategic direction, capital allocation and culture that are critical in the underwriting of corporate management teams. Specifically, the research team incorporates MSCI and Columbia Threadneedle proprietary ratings and ESG material factors into its fundamental analysis. These ratings and key ESG material factors supplement analyst credit metric tables incorporated in company and industry thesis statements. As it relates to climate change specifically, the material factors that are evaluated include but are not limited to WACI, TCFD Carbon-Related Assets, GHG emissions, biodiversity & land, and water usage.

Details of calculation

<Not Applicable>

Investing in oil and gas (Asset owner)

Are you able to report a value for the carbon-related assets?

No, but we plan to assess our portfolio's exposure in the next two years

Value of the carbon-related assets in your portfolio (unit currency – as specified in C0.4)

<Not Applicable>

New loans advanced in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Total premium written in reporting year (unit currency – as specified in C0.4)

<Not Applicable>

Percentage of portfolio value comprised of carbon-related assets in reporting year

<Not Applicable>

Primary reason for not providing a value for the financing and/or insurance to carbon-related assets

Other, please specify (We do capture the value of these assets within our owned asset portfolio, however we are not disclosing this information at this time.)

Please explain why you are not providing a value for the financing and/or insurance to carbon-related assets and your plans for the future

We are not able to publicly disclose these values at this time. However, the assessment of the exposures of the individual companies (and their transition plans) are inputs into whether we will invest in said companies. Our research process incorporates ESG aspects as a means to enhance our view into strategic direction, capital allocation and culture that are critical in the underwriting of corporate management teams. Specifically, the research team incorporates MSCI and Columbia Threadneedle proprietary ratings and ESG material factors into its fundamental analysis. These ratings and key ESG material factors supplement analyst credit metric tables incorporated in company and industry thesis statements. As it relates to climate change specifically, the material factors that are evaluated include but are not limited to WACI, TCFD Carbon-Related Assets, GHG emissions, biodiversity & land, and water usage.

Details of calculation

<Not Applicable>

C-FS14.1

(C-FS14.1) Does your organization measure its portfolio impact on the climate?

	We conduct analysis on our portfolio's impact on the climate	Disclosure metric	Please explain why you do not measure the impact of your portfolio on the climate
Banking (Bank)	No, and we do not plan to do so in the next two years	<Not Applicable>	The vast majority of our Bank's investment portfolio is invested in structured assets, including mortgages. However, these asset classes lack reliable, consistent RI data. As the market matures and relevant data capabilities develop further, we will evolve our approach to assessing the portfolio's exposures. Currently, the Bank leverages the extensive RI capabilities at Columbia Threadneedle in the management of its investment portfolio.
Investing (Asset manager)	Yes	Portfolio emissions	<Not Applicable>
Investing (Asset owner)	Yes	Other carbon footprinting and/or exposure metrics (as defined by TCFD)	<Not Applicable>
Insurance underwriting (Insurance company)	<Not Applicable>	<Not Applicable>	<Not Applicable>

C-FS14.1a

(C-FS14.1a) Provide details of your organization's portfolio emissions in the reporting year.

Investing (Asset manager)

Portfolio emissions (metric unit tons CO2e) in the reporting year

16000000

Portfolio coverage

Percentage calculated using data obtained from clients/investees

Emissions calculation methodology

Other, please specify (Partnership for Carbon Accounting Financials)

Please explain the details and assumptions used in your calculation

A working estimate of Columbia Threadneedle's total financed emissions from listed equity and corporate debt investments as of 31 December 2022 is calculated using the equation shown below, which is aligned with that recommended by the TCFD and the Partnership for Carbon Accounting Financials (PCAF):
(Total value of EQ+FI holdings in \$/Enterprise Value Including Cash) x (Scope 1 + Scope 2 emissions)

Limitations of this metric are that EVIC (Enterprise Value Including Cash) data is sometimes missing for non-listed equity and bonds.

Portfolio coverage varies based on asset class and entity. We will continue to update the calculation methodologies and scope of asset classes included as industry practice evolves. For more information on how Columbia Threadneedle tracks their financed emissions at the product- and entity-levels of the business, see its Climate Change Report June 2023, pages 34-40.

C-FS14.1b

(C-FS14.1b) Provide details of the other carbon footprinting and/or exposure metrics used to track the impact of your portfolio on the climate.

Portfolio

Investing (asset owner)

Portfolio metric

Weighted average carbon intensity (tCO₂e/Million revenue)

Metric value in the reporting year

532.77

Portfolio coverage

45

Percentage calculated using data obtained from clients/investees

0

Calculation methodology

The calculation of WACI is done using a widely accepted methodology. WACI measures the carbon intensity of businesses, not its total carbon emissions. It is a calculation of the tonnes of CO₂ emitted per US\$1 million of company sales. It then aggregates them using the percentage weight of the holding within the portfolio. The calculation includes only securities within the owned asset RiverSource Consolidated portfolio that have relevant data. There is currently no RI data available in the marketplace for structured products. We would note that since 2018, our investment team has thoughtfully and strategically reduced the carbon intensity of the corporate bond allocation within the RiverSource Life portfolio while maintaining the overall portfolio's quality. RiverSource Life's portfolio is a large, well-established book with high-performing investments managed over many years. As changes are made to tactical allocations those decisions are informed by Columbia Threadneedle's RI research. In fact, the reduction of the carbon intensity of the portfolio reflects not only portfolio management actions, but also the issuers' own steps to reduce their carbon footprint. Historical WACI of the portfolio: 12/31/21 = 608.23; 12/31/20 = 764.13; 12/31/19 = 838.84; 12/31/18 = 970.49.

C-FS14.1c

(C-FS14.1c) Disclose or restate your portfolio emissions for previous years.

Past year 1 for Investing (Asset manager)

Start date

January 1 2022

End date

December 31 2022

Portfolio emissions (metric unit tons CO₂e) in the reporting year

Portfolio coverage

Percentage calculated using data obtained from clients/investees

Emissions calculation methodology

Please explain the details and assumptions used in your calculation

Past year 2 for Investing (Asset manager)

Start date

End date

Portfolio emissions (metric unit tons CO₂e) in the reporting year

Portfolio coverage

Percentage calculated using data obtained from clients/investees

Emissions calculation methodology

Please explain the details and assumptions used in your calculation

Past year 3 for Investing (Asset manager)

Start date

End date

Portfolio emissions (metric unit tons CO₂e) in the reporting year

Portfolio coverage

Percentage calculated using data obtained from clients/investees

Emissions calculation methodology

Please explain the details and assumptions used in your calculation

C-FS14.2

(C-FS14.2) Are you able to provide a breakdown of your organization's portfolio impact?

	Portfolio breakdown	Please explain why you do not provide a breakdown of your portfolio impact
Row 1	None of the above, but we plan to do this in the next two years	For our managed assets, Columbia Threadneedle continues to evolve its capabilities related to integrating emissions data within its research. For more information see the Climate Change Report June 2023. For our owned assets, we don't currently provide a breakdown of our portfolio impact by any of the listed categories. However, as market and investor expectations evolve in this space, we may consider doing so in the next couple of years.

C-FS14.3

(C-FS14.3) Did your organization take any actions in the reporting year to align your portfolio with a 1.5°C world?

	Actions taken to align our portfolio with a 1.5°C world	Briefly explain the actions you have taken to align your portfolio with a 1.5-degree world	Please explain why you have not taken any action to align your portfolio with a 1.5°C world
Banking (Bank)	No, and we do not plan to in the next two years	<Not Applicable>	The vast majority of our Bank's investment portfolio is invested in structured assets, including mortgages. However, these asset classes lack reliable, consistent RI data. As the market matures and relevant data capabilities develop further, we will evolve our approach to assessing the alignment of our portfolio with a 1.5 degree world. Currently, the Bank leverages the extensive RI capabilities at Columbia Threadneedle in the management of its investment portfolio.
Investing (Asset manager)	Yes	Columbia Threadneedle's engagement encourages investee companies to advance best practice in managing climate change and the energy transition, as appropriate.	<Not Applicable>
Investing (Asset owner)	Yes		<Not Applicable>
Insurance underwriting (Insurance company)	<Not Applicable>	<Not Applicable>	<Not Applicable>

C-FS14.3a

(C-FS14.3a) Does your organization assess if your clients/investees' business strategies are aligned with a 1.5°C world?

	Assessment of alignment of clients/investees' strategies with a 1.5°C world	Please explain why you are not assessing if your clients/investees' business strategies are aligned with a 1.5°C world
Banking (Bank)	<Not Applicable>	<Not Applicable>
Investing (Asset manager)	Yes, for some	Columbia Threadneedle has a Net Zero data tool covering equities and corporate debt, which is available to investment teams through the Aladdin Enterprise System (portfolio management system). This assesses company alignment on net zero using the Net Zero Investment Framework methodology. A selection of data sources are used to rate companies on their alignment to a net zero pathway, from Fully Aligned; to those making progress, which are rated as Aligning or Committed; to those that are Not Aligned. This enables investment teams to analyze portfolios and identify which companies to engage on behalf of clients.
Investing (Asset owner)	No, and we do not plan to in the next two years	We do not have the necessary data or resources to prioritize this.
Insurance underwriting (Insurance company)	<Not Applicable>	<Not Applicable>

C15. Biodiversity

C15.1

(C15.1) Is there board-level oversight and/or executive management-level responsibility for biodiversity-related issues within your organization?

	Board-level oversight and/or executive management-level responsibility for biodiversity-related issues	Description of oversight and objectives relating to biodiversity	Scope of board-level oversight
Row 1	No, and we do not plan to have both within the next two years	<Not Applicable>	<Not Applicable>

C15.2

(C15.2) Has your organization made a public commitment and/or endorsed any initiatives related to biodiversity?

	Indicate whether your organization made a public commitment or endorsed any initiatives related to biodiversity	Biodiversity-related public commitments	Initiatives endorsed
Row 1	Yes, we have endorsed initiatives only	<Not Applicable>	Other, please specify (Columbia Threadneedle has endorsed a number of biodiversity-related initiatives. For more information see its 2022 Stewardship Report.)

C15.3

(C15.3) Does your organization assess the impacts and dependencies of its value chain on biodiversity?

Impacts on biodiversity

Indicate whether your organization undertakes this type of assessment

No and we don't plan to within the next two years

Value chain stage(s) covered

<Not Applicable>

Portfolio activity

<Not Applicable>

Tools and methods to assess impacts and/or dependencies on biodiversity

<Not Applicable>

Please explain how the tools and methods are implemented and provide an indication of the associated outcome(s)

<Not Applicable>

Dependencies on biodiversity

Indicate whether your organization undertakes this type of assessment

No and we don't plan to within the next two years

Value chain stage(s) covered

<Not Applicable>

Portfolio activity

<Not Applicable>

Tools and methods to assess impacts and/or dependencies on biodiversity

<Not Applicable>

Please explain how the tools and methods are implemented and provide an indication of the associated outcome(s)

<Not Applicable>

C15.4

(C15.4) Does your organization have activities located in or near to biodiversity- sensitive areas in the reporting year?

Not assessed

C15.5

(C15.5) What actions has your organization taken in the reporting year to progress your biodiversity-related commitments?

	Have you taken any actions in the reporting period to progress your biodiversity-related commitments?	Type of action taken to progress biodiversity- related commitments
Row 1	Yes, we are taking actions to progress our biodiversity-related commitments	Other, please specify (Stewardship activities)

C15.6

(C15.6) Does your organization use biodiversity indicators to monitor performance across its activities?

	Does your organization use indicators to monitor biodiversity performance?	Indicators used to monitor biodiversity performance
Row 1	No	Please select

C15.7

(C15.7) Have you published information about your organization's response to biodiversity-related issues for this reporting year in places other than in your CDP response? If so, please attach the publication(s).

Report type	Content elements	Attach the document and indicate where in the document the relevant biodiversity information is located
Other, please specify (1. Columbia Threadneedle's Stewardship Report; 2. "Biodiversity Best Practice and Engagement Approach")	Influence on public policy and lobbying Biodiversity strategy	Columbia Threadneedle's Stewardship Report 2022 Columbia Threadneedle Investments ESG VIEWPOINT: Biodiversity Best Practice and Engagement Approach ESG Viewpoint - Biodiversity Best Practice and Engagement Approach.pdf CTI - Stewardship Report 2022.pdf

C16. Signoff

C-FI

(C-FI) Use this field to provide any additional information or context that you feel is relevant to your organization's response. Please note that this field is optional and is not scored.

C16.1

(C16.1) Provide details for the person that has signed off (approved) your CDP climate change response.

	Job title	Corresponding job category
Row 1	Paul W. Johnson Vice President -- Corporate Communications	Other, please specify (Public Affairs)

FW-FS Forests and Water Security (FS only)

FW-FS1.1

(FW-FS1.1) Is there board-level oversight of forests- and/or water-related issues within your organization?

	Board-level oversight of this issue area	Explain why your organization does not have board-level oversight of this issue area and any plans to address this in the future
Forests	No, and we do not plan to in the next two years	For Ameriprise these topics are not part of our current sustainability reporting.
Water	No, and we do not plan to in the next two years	For Ameriprise these topics are not part of our current sustainability reporting.

FW-FS1.1c

(FW-FS1.1c) Does your organization have at least one board member with competence on forests- and/or water-related issues?

Forests

Board member(s) have competence on this issue area

Not assessed

Criteria used to assess competence of board member(s) on this issue area

<Not Applicable>

Primary reason for no board-level competence on this issue area

Other, please specify (For Ameriprise these topics are not part of our current sustainability reporting.)

Explain why your organization does not have at least one board member with competence on this issue area and any plans to address this in the future

For Ameriprise these topics are not part of our current sustainability reporting.

Water

Board member(s) have competence on this issue area

Not assessed

Criteria used to assess competence of board member(s) on this issue area

<Not Applicable>

Primary reason for no board-level competence on this issue area

Other, please specify (For Ameriprise these topics are not part of our current sustainability reporting.)

Explain why your organization does not have at least one board member with competence on this issue area and any plans to address this in the future

For Ameriprise these topics are not part of our current sustainability reporting.

FW-FS1.2

(FW-FS1.2) Provide the highest management-level position(s) or committee(s) with responsibility for forests- and/or water-related issues.

Position or committee

There is no management level responsibility for forests- and/or water-related issues

Issue area(s)

Forests
Water

Forests- and/or water-related responsibilities of this position

<Not Applicable>

Coverage of responsibilities

<Not Applicable>

Reporting line

<Not Applicable>

Frequency of reporting to the board on forests- and/or water-related issues via this reporting line

<Not Applicable>

Please explain

For Ameriprise these topics are not part of our current sustainability reporting.

FW-FS2.1

(FW-FS2.1) Do you assess your portfolio's exposure to forests- and/or water-related risks and opportunities?

	We assess our portfolio's exposure to this issue area	Explain why your portfolio's exposure is not assessed for this issue area and any plans to address this in the future
Banking – Forests exposure	No, and we do not plan to in the next two years	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.
Banking – Water exposure	No, and we do not plan to in the next two years	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.
Investing (Asset manager) – Forests exposure	Yes	<Not Applicable>
Investing (Asset manager) – Water exposure	Yes	<Not Applicable>
Investing (Asset owner) – Forests exposure	No, and we do not plan to in the next two years	We will continue to assess based on data reliability and availability.
Investing (Asset owner) – Water exposure	No, and we do not plan to in the next two years	We will continue to assess based on data reliability and availability.
Insurance underwriting – Forests exposure	<Not Applicable>	<Not Applicable>
Insurance underwriting – Water exposure	<Not Applicable>	<Not Applicable>

FW-FS2.1a

(FW-FS2.1a) Describe how you assess your portfolio's exposure to forests- and/or water-related risks and opportunities.

Investing (Asset manager) – Forests exposure

Type of risk management process

A specific ESG-related risk management process

Proportion of portfolio covered by risk management process

Type of assessment

Qualitative and quantitative

Time horizon(s) covered

None of the above/ Not defined

Tools and methods used

ENCORE
Sustainability Policy Transparency Toolkit (SPOTT)
Other, please specify (RepRisk, Forest 500, MSCI, CDP Forests, Impact Cubed)

% of clients/investees (by number) exposed to substantive risk

% of clients/investees (by portfolio exposure) exposed to substantive risk

Provide the rationale for implementing this process to assess your portfolio's exposure to forests- and/or water-related risks and opportunities

Columbia Threadneedle tracks two principle adverse impacts related to forests and biodiversity for its Article 8 and 9 funds in EMEA. Their focus is on identifying those companies with a history of controversies operating in biodiversity sensitive regions or in a sectors that are linked to deforestation. They are building out tools to help quantify these risks and are currently piloting a deforestation tool to identify corporate issuers that are exposed with weak management practices. This tool assesses the highest risk commodities; soy, palm oil, timber, cattle products, coffee, cocoa, and natural rubber and sectors which have upstream or operational links to them. It uses ~100 unique data inputs from third party sources to measure three elements:

- o Management: How well the issuer manages deforestation risks & impacts
- o Exposure: How exposed the issuer is to deforestation risks
- o Materiality: How material is the deforestation risk and impact from an issuer

Investing (Asset manager) – Water exposure

Type of risk management process

A specific ESG-related risk management process

Proportion of portfolio covered by risk management process

Type of assessment

Qualitative only

Time horizon(s) covered

None of the above/ Not defined

Tools and methods used

Other, please specify (MSCI)

% of clients/investees (by number) exposed to substantive risk

% of clients/investees (by portfolio exposure) exposed to substantive risk

Provide the rationale for implementing this process to assess your portfolio's exposure to forests- and/or water-related risks and opportunities

This feeds into Columbia Threadneedle's proprietary ESGM scoring for equities and corporate debt investments.

FW-FS2.2

(FW-FS2.2) Does your organization consider forests- and/or water-related information about clients/investees as part of its due diligence and/or risk assessment process?

	We consider forests- and/or water-related information	Explain why information related to this issue area is not considered and any plans to address this in the future
Banking – Forests-related information	No, and we do not plan to in the next two years	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.
Banking – Water-related information	No, and we do not plan to in the next two years	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.
Investing (Asset manager) – Forests-related information	Yes	<Not Applicable>
Investing (Asset manager) – Water-related information	Yes	<Not Applicable>
Investing (Asset owner) – Forests-related information	No, and we do not plan to in the next two years	We will continue to assess based on data reliability and availability.
Investing (Asset owner) – Water-related information	No, and we do not plan to in the next two years	We will continue to assess based on data reliability and availability.
Insurance underwriting – Forests-related information	<Not Applicable>	<Not Applicable>
Insurance underwriting – Water-related information	<Not Applicable>	<Not Applicable>

FW-FS2.2a

(FW-FS2.2a) Indicate the forests- and/or water-related information your organization considers about clients/investees as part of your due diligence and/or risk assessment process, and how this influences decision making.

	Type of information considered	Process through which information is obtained	Industry sector(s) covered by due diligence and/or risk assessment process	State how these forests- and/or water-related information influences your decision making
Banking – Forests-related information	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Banking – Water-related information	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Investing (Asset manager) – Forests-related information	Scope and content of forests policy	Data provider	Materials Automobiles & Components Consumer Durables & Apparel Retailing Food & Staples Retailing Food, Beverage & Tobacco Household & Personal Products	Columbia Threadneedle considers whether prospective investee companies have deforestation-related controversies and a deforestation policy under their Principle Adverse Impact approach for responsible and sustainable funds in the UK (Article 8 or 9).
Investing (Asset manager) – Water-related information	Water withdrawal and/or consumption volumes Water discharge treatment data	Data provider	Energy Materials Capital Goods Commercial & Professional Services Transportation Automobiles & Components Consumer Durables & Apparel Consumer Services Retailing Food & Staples Retailing Food, Beverage & Tobacco Household & Personal Products Health Care Equipment & Services Pharmaceuticals, Biotechnology & Life Sciences Software & Services Technology Hardware & Equipment Semiconductors & Semiconductor Equipment Telecommunication Services Media & Entertainment Utilities Real Estate	Columbia Threadneedle considers whether prospective investee companies have water-related controversies and significant emissions to water under their Principle Adverse Impact approach for responsible and sustainable funds in the UK (Article 8 or 9).
Investing (Asset owner) – Forests-related information	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Investing (Asset owner) – Water-related information	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insurance underwriting – Forests-related information	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insurance underwriting – Water-related information	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>

FW-FS2.3

(FW-FS2.3) Have you identified any inherent forests- and/or water-related risks in your portfolio with the potential to have a substantive financial or strategic impact on your business?

	Risks identified for this issue area	Primary reason why your organization has not identified any substantive risks for this issue area	Explain why your organization has not identified any substantive risks for this issue area
Forests	No	Not yet evaluated	Columbia Threadneedle is currently piloting a deforestation tool and additional biodiversity data sources and evaluating the guidance of the Taskforce on Nature-related Financial Disclosures.
Water	No	Not yet evaluated	

FW-FS2.4

(FW-FS2.4) Have you identified any inherent forests- and/or water-related opportunities in your portfolio with the potential to have a substantive financial or strategic impact on your business?

	Opportunities identified for this issue area	Primary reason why your organization has not identified any substantive opportunities for this issue area	Explain why your organization has not identified any substantive opportunities for this issue area
Forests	No	Not yet evaluated	Columbia Threadneedle is currently piloting a deforestation tool and additional biodiversity data sources and evaluating the guidance of the Taskforce on Nature-related Financial Disclosures.
Water	No	Not yet evaluated	

FW-FS3.1

(FW-FS3.1) Do you take forests- and/or water-related risks and opportunities into consideration in your organization's strategy and/or financial planning?

Forests

Risks and opportunities related to this issue area taken into consideration in strategy and/or financial planning

No, we do not take risks and opportunities into consideration

Description of influence on organization's strategy including own commitments

<Not Applicable>

Financial planning elements that have been influenced

<Not Applicable>

Description of influence on financial planning

<Not Applicable>

Explain why forests- and/or water-related risks and opportunities have not influenced your strategy and/or financial planning

For Ameriprise these topics are not part of our current sustainability reporting.

Water

Risks and opportunities related to this issue area taken into consideration in strategy and/or financial planning

No, we do not take risks and opportunities into consideration

Description of influence on organization's strategy including own commitments

<Not Applicable>

Financial planning elements that have been influenced

<Not Applicable>

Description of influence on financial planning

<Not Applicable>

Explain why forests- and/or water-related risks and opportunities have not influenced your strategy and/or financial planning

For Ameriprise these topics are not part of our current sustainability reporting.

FW-FS3.2

(FW-FS3.2) Has your organization conducted any scenario analysis to identify forests- and/or water-related outcomes?

Forests

Scenario analysis conducted to identify outcomes for this issue area

No, we have not conducted any scenario analysis to identify outcomes for this issue area, and we don't plan to in the next two years

Type of scenario analysis used

<Not Applicable>

Parameters, assumptions, analytical choices

<Not Applicable>

Description of outcomes for this issue area

<Not Applicable>

Explain how the outcomes identified using scenario analysis have influenced your strategy

<Not Applicable>

Explain why your organization has not conducted scenario analysis for this issue area and any plans to address this in the future

Water

Scenario analysis conducted to identify outcomes for this issue area

No, we have not conducted any scenario analysis to identify outcomes for this issue area, and we don't plan to in the next two years

Type of scenario analysis used

<Not Applicable>

Parameters, assumptions, analytical choices

<Not Applicable>

Description of outcomes for this issue area

<Not Applicable>

Explain how the outcomes identified using scenario analysis have influenced your strategy

<Not Applicable>

Explain why your organization has not conducted scenario analysis for this issue area and any plans to address this in the future

FW-FS3.3

(FW-FS3.3) Has your organization set targets for deforestation free and/or water secure lending, investing and/or insuring?

	Targets set	Explain why your organization has not set targets for deforestation free and/or water secure lending, investing and/or insuring and any plans to address this in the future
Forests	No, and we do not plan to set targets in the next two years	For Ameriprise these topics are not part of our current sustainability reporting.
Water Security	No, and we do not plan to set targets in the next two years	For Ameriprise these topics are not part of our current sustainability reporting.

FW-FS3.4

(FW-FS3.4) Do any of your existing products and services enable clients to mitigate deforestation and/or water insecurity?

	Existing products and services that enable clients to mitigate deforestation and/or water insecurity	Explain why your organization does not offer products and services which enable clients to mitigate deforestation and/or water insecurity and any plans to address this in the future
Forests	Yes	<Not Applicable>
Water	Yes	<Not Applicable>

FW-FS3.4a

(FW-FS3.4a) Provide details of your existing products and services that enable clients to mitigate deforestation and/or water insecurity.

Product type

Other, please specify (Responsible Engagement Overlay (reo®) service)

Taxonomy or methodology used to classify product(s)

Internally classified

Product enables clients to mitigate

Deforestation
Water insecurity

Description of product(s)

Clients of Columbia Threadneedle's engagement and voting service benefit from a comprehensive approach to active ownership across their assets. Environmental stewardship is one of the seven key themes covered by this service, and Columbia Threadneedle has been running a specific deforestation project in 2023.

Type of activity financed, invested in or insured

Other, please specify (All of the above)

Portfolio value (unit currency – as specified in C0.4)

% of total portfolio value

FW-FS3.5

(FW-FS3.5) Does the policy framework for the portfolio activities of your organization include forests- and/or water-related requirements that clients/investees need to meet?

	Policy framework includes this issue area	Explain why your organization does not include this issue area in the policy framework and any plans to address this in the future
Forests	No, but we plan to include this issue area within the next two years	Columbia Threadneedle is evaluating options and investment implications. They are appraising the results of the TNFD pilot phase and will take learnings into policy development.
Water	No, but we plan to include this issue area within the next two years	Columbia Threadneedle is evaluating options and investment implications. They are appraising the results of the TNFD pilot phase and will take learnings into policy development.

FW-FS3.6

(FW-FS3.6) Does your organization include covenants in financing agreements to reflect and enforce your forests- and/or water-related policies?

	Covenants included in financing agreements to reflect and enforce policies for this issue area	Explain how the covenants included in financing agreements relate to your policies for this issue area	Explain why your organization does not include covenants for this issue area in financing agreements and any plans to address this in the future
Forests	No, and we do not plan to in the next two years	<Not Applicable>	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.
Water	No, and we do not plan to in the next two years	<Not Applicable>	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.

FW-FS4.1

(FW-FS4.1) Do you engage with your clients/investees on forests- and/or water-related issues?

	We engage with clients/investees on this issue area	Explain why you do not engage with your clients/investees on the issue area and any plans to address this in the future
Clients – Forests	No, and we do not plan to in the next two years	
Clients – Water	No, and we do not plan to in the next two years	
Investees – Forests	Yes	<Not Applicable>
Investees – Water	Yes	<Not Applicable>

FW-FS4.1b

(FW-FS4.1b) Give details of your forests- and/or water-related engagement strategy with your investees.

Issue area this engagement relates to

Forests

Type of engagement

Education/information sharing

Details of engagement

Engage with investees on measuring exposure to forests-related risk

Run an engagement campaign to educate investees about your expectations of them in relation to their impact on forests

Investing (asset manager) portfolio coverage of engagement

Investing (asset owner) portfolio coverage of engagement

Rationale for the coverage of your engagement

Engagement targeted at investees with increased forest-related risks

Impact of engagement, including measures of success

In 2022 Columbia Threadneedle engaged with 391 issuers on environmental topics (excluding climate).

Issue area this engagement relates to

Water

Type of engagement

Education/information sharing

Details of engagement

Engage with investees on measuring exposure to water-related risk

Run an engagement campaign to educate investees about your expectations of them in relation to their impact on water security

Investing (asset manager) portfolio coverage of engagement

Investing (asset owner) portfolio coverage of engagement

Rationale for the coverage of your engagement

Engagement targeted at investees with increased water-related risks

Impact of engagement, including measures of success

In 2022 Columbia Threadneedle engaged with 391 issuers on environmental topics (excluding climate).

FW-FS4.2

(FW-FS4.2) Does your organization exercise its voting rights as a shareholder on forests- and/or water-related issues?

	We exercise voting rights as a shareholder on this issue area	Issues supported in shareholder resolutions	Give details of the impact your voting has had on this issue area	Explain why your organization does not exercise voting rights on this issue area and any plans to address this in the future
Forests	Yes	Halting deforestation Other, please specify	Columbia Threadneedle's biodiversity voting policy flags companies which are materially exposed to biodiversity-related risks and fail to provide adequate disclosures.	<Not Applicable>
Water	Yes	Reduce water withdrawal and/or consumption Reduce water pollution	Columbia Threadneedle's water voting policy flags companies which are materially exposed to water-related risks and fail to provide adequate disclosures.	<Not Applicable>

FW-FS4.3

(FW-FS4.3) Does your organization provide financing and/or insurance to smallholders in the agricultural commodity supply chain?

	Provide financing and/or insurance to smallholders in the agricultural commodity supply chain	Agricultural commodity	Primary reason for not providing finance and/or insurance to smallholders	Explain why your organization does not provide finance/insurance to smallholders and any plans to change this in the future
Row 1	No, and we do not plan to in the next two years	<Not Applicable>	Other, please specify	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable.

FW-FS4.4

(FW-FS4.4) Does your organization engage in activities that could directly or indirectly influence policy, law, or regulation that may impact forests and/or water security?

	External engagement activities that could directly or indirectly influence policy, law, or regulation that may impact this issue area	Primary reason for not engaging in activities that could directly or indirectly influence policy, law, or regulation that may impact this issue area	Explain why you do not engage in activities that could directly or indirectly influence policy, law, or regulation that may impact this issue area
Forests	Not assessed	<Not Applicable>	<Not Applicable>
Water	Not assessed	<Not Applicable>	<Not Applicable>

FW-FS5.1

(FW-FS5.1) Does your organization measure its portfolio impact on forests and/or water security?

	We measure our portfolio impact on this issue area	Explain how your organization measures its portfolio impact on this issue area, including any metrics used to quantify impact	Primary reason for not measuring portfolio impact on this issue area	Explain why your organization does not measure its portfolio impact on this issue area and any plans to change this in the future
Banking – Impact on Forests	No, and we don't plan to in the next two years	<Not Applicable>	Judged to be unimportant	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.
Banking – Impact on Water	No, and we don't plan to in the next two years	<Not Applicable>	Judged to be unimportant	Ameriprise Bank, FSB does not engage in commercial lending. As a provider of consumer lending products and services, this is not applicable and we do not have the necessary data to prioritize this.
Investing (Asset manager) – Impact on Forests	No, but we plan to in the next two years	<Not Applicable>	Lack of tools or methodologies available	Columbia Threadneedle is currently trialing new data tools to better evaluate the impact of their portfolios on forests.
Investing (Asset manager) – Impact on Water	No, but we plan to in the next two years	<Not Applicable>	Lack of tools or methodologies available	Columbia Threadneedle is currently trialing new data tools to better evaluate the impact of their portfolios on water.
Investing (Asset owner) – Impact on Forests	No, and we don't plan to in the next two years	<Not Applicable>	Lack of tools or methodologies available	We will continue to assess based on data reliability and availability.
Investing (Asset owner) – Impact on Water	No, and we don't plan to in the next two years	<Not Applicable>	Lack of tools or methodologies available	We will continue to assess based on data reliability and availability.
Insurance underwriting – Impact on Forests	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insurance underwriting – Impact on Water	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>

FW-FS5.2

(FW-FS5.2) Does your organization provide finance or insurance to companies operating in any stages of the following forest risk commodity supply chains, and are you able to report on the amount of finance/insurance provided?

	Finance or insurance provided to companies operating in the supply chain for this commodity	Amount of finance/insurance provided will be reported	Explain why your organization is unable to report on the amount of finance/insurance provided for this commodity
Lending to companies operating in the timber products supply chain	No	<Not Applicable>	<Not Applicable>
Lending to companies operating in the palm oil products supply chain	No	<Not Applicable>	<Not Applicable>
Lending to companies operating in the cattle products supply chain	No	<Not Applicable>	<Not Applicable>
Lending to companies operating in the soy supply chain	No	<Not Applicable>	<Not Applicable>
Lending to companies operating in the rubber supply chain	No	<Not Applicable>	<Not Applicable>
Lending to companies operating in the cocoa supply chain	No	<Not Applicable>	<Not Applicable>
Lending to companies operating in the coffee supply chain	No	<Not Applicable>	<Not Applicable>
Investing (asset manager) to companies operating in the timber products supply chain	Yes	No, but we plan to assess our portfolio's exposure to this commodity in the next two years	We are still appraising data providers and evaluating exposure.
Investing (asset manager) to companies operating in the palm oil products supply chain	Yes	No, but we plan to assess our portfolio's exposure to this commodity in the next two years	We are still appraising data providers and evaluating exposure.
Investing (asset manager) to companies operating in the cattle products supply chain	Yes	No, but we plan to assess our portfolio's exposure to this commodity in the next two years	We are still appraising data providers and evaluating exposure.
Investing (asset manager) to companies operating in the soy supply chain	Yes	No, but we plan to assess our portfolio's exposure to this commodity in the next two years	We are still appraising data providers and evaluating exposure.
Investing (asset manager) to companies operating in the rubber supply chain	Yes	No, but we plan to assess our portfolio's exposure to this commodity in the next two years	We are still appraising data providers and evaluating exposure.
Investing (asset manager) to companies operating in the cocoa supply chain	Yes	No, but we plan to assess our portfolio's exposure to this commodity in the next two years	We are still appraising data providers and evaluating exposure.
Investing (asset manager) to companies operating in the coffee supply chain	Yes	No, but we plan to assess our portfolio's exposure to this commodity in the next two years	We are still appraising data providers and evaluating exposure.
Investing (asset owner) to companies operating in the timber products supply chain	No	<Not Applicable>	<Not Applicable>
Investing (asset owner) to companies operating in the palm oil products supply chain	No	<Not Applicable>	<Not Applicable>
Investing (asset owner) to companies operating in the cattle products supply chain	No	<Not Applicable>	<Not Applicable>
Investing (asset owner) to companies operating in the soy supply chain	No	<Not Applicable>	<Not Applicable>
Investing (asset owner) to companies operating in the rubber supply chain	No	<Not Applicable>	<Not Applicable>
Investing (asset owner) to companies operating in the cocoa supply chain	No	<Not Applicable>	<Not Applicable>
Investing (asset owner) to companies operating in the coffee supply chain	No	<Not Applicable>	<Not Applicable>
Insuring companies operating in the timber products supply chain	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insuring companies operating in the palm oil products supply chain	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insuring companies operating in the cattle products supply chain	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insuring companies operating in the soy supply chain	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insuring companies operating in the rubber supply chain	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insuring companies operating in the cocoa supply chain	<Not Applicable>	<Not Applicable>	<Not Applicable>
Insuring companies operating in the coffee supply chain	<Not Applicable>	<Not Applicable>	<Not Applicable>

FW-FS6.1

(FW-FS6.1) Have you published information about your organization's response to forests- and/or water-related issues for this reporting year in places other than in your CDP response? If so, please attach the publication(s).

Focus of the Publication

Forests

Publication

In voluntary communications

Status

Complete

Attach the document

ESG Viewpoint - Biodiversity Best Practice and Engagement Approach.pdf

Page/Section reference

Columbia Threadneedle ESG Viewpoint: Biodiversity Best Practice and Engagement Approach

Content elements

Please select

Comment

Focus of the Publication

Water Security

Publication

In voluntary communications

Status

Complete

Attach the document

ESG Viewpoint - Biodiversity Best Practice and Engagement Approach.pdf

Page/Section reference

Columbia Threadneedle ESG Viewpoint: Biodiversity Best Practice and Engagement Approach

Content elements

Please select

Comment

Focus of the Publication

Forests

Publication

In other regulatory filings

Status

Complete

Attach the document

CTI - Stewardship Report 2022.pdf

Page/Section reference

Columbia Threadneedle 2022 Stewardship Report

Content elements

Please select

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Focus of the Publication

Water Security

Publication

In other regulatory filings

Status

Complete

Attach the document

CTI - Stewardship Report 2022.pdf

Page/Section reference

Columbia Threadneedle 2022 Stewardship Report

Content elements

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