



Gifting of Securities from your Ameriprise® Brokerage Account

Account Number

- For more efficient processing, use the E-Signature or Sign in person options
- Processed gifting requests are irrevocable and cannot be canceled and are non-refundable
- Completed requests will be processed on a 'best efforts' basis.
- The donor of the gift should notify the recipient in advance to reduce the possibility of the gift being rejected. All account owners must sign.
- For Securities/Mutual Funds delivered to a Brokerage Firm, the request must be received by the second Friday in December to be processed by year end.
- For Mutual Fund shares delivered to a Mutual Fund Company, the request must be received by the first Friday in December to be processed by year end.
- Check to make sure all information is accurate to ensure timely processing.
- Fax to: 800.624.2259, Attn: DTC Delivery

Client Information

Client or Trustee First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Client ID	<input type="text" value="001"/>	
Additional Client or Trustee First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Client ID	<input type="text" value="001"/>	
Entity or Trust Name	<input type="text"/>	

List Securities to be Gifted

- If the Tax Lot Date is not included, or not available, the tax lots will be transferred first in first out (FIFO)
- If the default cost basis relief method is Average Cost, all tax lots will be transferred first in first out (FIFO).
- Securities must be sent as whole shares (other than open ended mutual funds). Any fractional shares will remain in the client's account.



Required Signatures

Client or Trustee First Name	MI	Last Name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Client or Trustee Signature			Date (MMDDYYYY)
<input checked="" type="text"/>			<input type="text"/>
Additional Client or Trustee First Name	MI	Last Name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Additional Client or Trustee Signature			Date (MMDDYYYY)
<input checked="" type="text"/>			<input type="text"/>