Over $190 Million in Assets Returns to Ameriprise Citing Financial Planning Capabilities, Technology and a Vision for Advisor Growth



"Ameriprise's planning capabilities, technology, and collaborative culture made my return an easy decision, giving us the resources and support to enhance the client experience and continue growing our practice."

Ricardo Baptiste, CFP®
Private Wealth Advisor
Returned to Ameriprise from Raymond James

MINNEAPOLIS – November 3, 2026 – Private wealth advisor **Ricardo Baptiste, CFP®**, has rejoined Ameriprise Financial, Inc. (NYSE: AMP) in Plantation, Fla., after six years with Raymond James. Baptiste, who spent 23 years at Ameriprise before moving to Raymond James in 2020, returns to Ameriprise's independent channel with $193 million in client assets. His practice, Wealth Investment Group, includes Financial Planning Assistant **Zachary Rosen** and Client Service Specialist **Josie Felix**.

"My experience away reinforced what I value about Ameriprise and what's important to me in a long-term partner for my practice," said Baptiste. "I knew I needed a firm that shared my commitment to comprehensive financial planning, independence, advisor success, and an elevated client experience. Ultimately, those priorities brought me back to Ameriprise, where I spent more than two decades building my practice and helping clients achieve their financial goals."

"The firm's financial planning capabilities, technology and resources were also important factors in my decision to return, along with a leadership team that values independent advisors and listens to their perspectives," Baptiste added. "We're excited to leverage Ameriprise's resources and collaborative culture to continue growing our practice, enhance the client experience and build on the positive feedback we've already received from clients during the transition."

Baptiste's practice is supported locally by Franchise Field Vice President **Sabrina Sabate** and Regional Vice President **Michael Barker**.

"When accomplished advisors like Ricardo choose to return to Ameriprise, it reinforces what makes our firm distinctive," said Sabate. "We combine industry-leading financial planning capabilities with a culture that supports advisor growth and puts clients at the center of everything we do. We're excited to help Ricardo build on the success he's already achieved."

Ameriprise has continued to attract experienced, productive financial advisors, with approximately 1,700 joining the firm in the last 5 years.[1] To find out why experienced financial advisors are joining Ameriprise, visit ameriprise.com/why.

About the Ameriprise *Ultimate Advisor Partnership*

The Ameriprise *Ultimate Advisor Partnership* offers a differentiated experience for advisors that helps them accelerate growth while delivering an excellent client experience. Combined with the company's culture of support and independence, the *Ultimate Advisor Partnership* enables advisors to scale their businesses, deepen client relationships and drive referrals for future growth.

About Ameriprise Financial

At Ameriprise Financial, we have been helping people feel confident about their financial future for more than 130 years[2]. With extensive investment advice, global asset management capabilities and insurance solutions, and a nationwide network of more than 10,000 financial advisors, we have the strength and expertise to serve the full range of individual and institutional investors' financial needs.

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[1] Ameriprise Financial 2025 10-K.

[2] Company founded June 29, 1894.

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