Ameriprise Financial | Be Brilliant.

Financial advice for life

We are recognized as a leader in the investment industry and put clients first with personalized, goal-based advice for every stage of life.

A leading financial institution

- Ameriprise Financial is a longstanding leader in financial planning and advice
- More than 2 million individual, business and institutional clients[2]
- Approximately 10,000 financial advisors serving clients in the U.S.[3]
- Ameriprise rated 4.9 out of 5 stars in overall client satisfaction[4]

A firm that's strong, stable — and growing

- Ameriprise manages and administers assets totaling more than $950 billion[5]
- Ameriprise ranks as the 35th-largest global asset manager[6]
- In 2018, Ameriprise Financial, along with its employees and advisors contributed approximately 100,000 volunteer hours, 247 grants awarded, supported more than 7,800 non-profit organizations across the US and internationally and 68% corporate employee participationed in the company's annual giving campaign — more than double the national average[7]

Top ratings in the investment industry

Ameriprise earned a Hearts & Wallets Top Performer™ recognition in:[1]

"Unbiased, puts my interest first"

"Explains things in understandable terms"

"Understands me and shares my values"

Ameriprise Financial

New York Stock Exchange symbol: AMP

2019 adjusted operating results[8]

Net revenues: $12.7 billion
Earnings: $2.2 billion
Return on equity (excl. AOCI): 38.3%

Our history

For 125 years, Ameriprise Financial has helped empower millions of people to pursue their passions, protect what matters most and live their values.

1894
John Tappan founded Investors Syndicate

1937
Assets reached $100 million

1975
Helped pioneer financial planning

2005
Ameriprise Financial became an independent public company and launched the RiverSource brand

2008
Advanced $700M to clients during the Great Recession

2010
Aquired Columbia Management

2014
Launched *Confident Retirement®* approach

2015
Launched Columbia Threadneedle Investments brand

2017
Aquired Investment Professionals, Inc.

2019
Announced sale of Ameriprise Auto & Home

What you can expect when you work with us.

At Ameriprise, our purpose is to help you feel confident, connected and in control of your financial life. The right financial advice can help prepare you for whatever life brings – both the expected and the unexpected.

- **One-to-one financial advice based on your goals and needs.**
- **Personalized recommendations for a diversified portfolio, and solutions to help protect you from uncertainty.**
- **Regular meetings to review your goals, progress and investments.**
- **Anytime access to your investments and digital tools to help you stay on track.**

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[1] Source: *Wants & Pricing* Report (April 2019) from the Hearts & Wallets Investor Quantitative™ Database. Hearts & Wallets conducts an annual syndicated survey in which respondents are asked to rate their financial services providers in a variety of areas on a scale of 1 (not at all satisfied) to 10 (extremely satisfied). In 2018, 5,441 respondents provided 8,748 sets of ratings. The report designates Hearts & Wallets Top Performer™ in areas where customer ratings for one or more provider are “distinctively higher than customer ratings of other providers.” In areas where no provider ratings are distinctively higher, no Top Performers are designated. This rating is not indicative of future performance and may not be representative of any one client’s experience, as the rating is an average of a sample of client experiences. Ameriprise paid a fee to Hearts & Wallets to cite the results of the survey.

[2] Company reports.

[3] Ameriprise Financial Q4 2019 Statistical Supplement.

[4] Clients can rate an advisor or practice, based on their overall satisfaction with the team or practice, on a scale of 1 to 5 (1= extremely dissatisfied to 5= extremely satisfied). Client experiences may vary and working with any Ameriprise Financial practice is not a guarantee of future financial results. Investors should not consider this rating a substitute for their own research and evaluation of a financial practice’s qualifications. Not all clients may respond to these questions, and only clients with access to the Ameriprise Secure Client Site may submit a rating. Ratings reflect an average of all client responses received between 3/13/2018 and 9/30/2018.

[5] Company data, as of Q4 2019.

[6] Pensions & Investments/Thinking Ahead Institute World 500: World’s largest money managers – Oct. 28, 2019 issue. Data as of year-end 2018.

[7] Company information.

[8] Financial results reflect operating results. See Ameriprise Financial Q4 2018 statistical supplement on ir.ameriprise.com/quarterly-earnings-documents.

Ameriprise Financial cannot guarantee future financial results. **Past performance is not a guarantee of future results.**

Investment advisory product and services are made available through Ameriprise Financial Services, LLC, a registered investment adviser.

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