

FEE SCHEDULE

Ameriprise brokerage accounts are subject to certain fees assessed by Ameriprise Financial Services, Inc., and its affiliates as described below. All fees are subject to change at our discretion, and other fees may apply. We will provide at least 30 day's written notice before we increase these fees, unless required or otherwise permitted by law or regulation. We reserve the right to reduce or waive these fees, at our discretion, if certain conditions are met. A current fee schedule can always be found at ameriprise.com/brokeragefees or talk with your Ameriprise financial advisor for more information.

ACCOUNT OR SERVICE	FEE AMOUNT	FREQUENCY
ACCOUNT MAINTENANCE		
Ameriprise Brokerage Account Fee	\$15	Quarterly
Ameriprise ONE Financial Account Fee	\$25	Quarterly
Ameriprise Brokerage 529 Plan Account Fee	\$25	Annual
IRA, SEP, SIMPLE Custodial Fee	\$75	Annual - Per Plan
Coverdell Education Savings Account (CESA) Custody Fee	\$10	Annual - Per Plan
Tax Sheltered Custodial Account (TSCA) Custody Fee	\$50	Annual - Per Plan
Unregistered Security Custody	\$250	Annual - Per Position
Registered Non - Traded Security Custody (initial and annual) ¹	\$150	Per Position
Custody/Safekeeping - Worthless ²	\$25	Annual - Per Position
Restricted Security Custody (initial and annual)	\$100	Per Position
Restricted Security - Purchase or Sale	\$100	Per Transaction
Voluntary Reorg Service Charge	\$30	Per Cusip
Pledge Account	\$100	Annual - Per Account
Certificate Delivery	\$80	Per Cusip
Legal Transfers	\$30	Per Transfer
Research ³	\$25	Per Hour
Physical Reorg Deposit	\$95	Per Cusip
Transfer Out - Termination	\$125	Per Account
Invalid Certificate ⁴	\$125	Per Cusip
Document Reprint ⁵	\$5	Per Document
Cash Debit ⁶	Variable	Per Account
Legal Process	\$40	Per Order
Treasury Auctions	\$40	Per Cusip
Deposit Registration System Request (DRS) ⁷ DRS Reject Fee	Variable	Per Transfer
Deposit Withdrawal at Custodial (DWAC) ⁸	Variable	Per Transfer
Stock Borrowing ⁹	Variable	Per Transaction or Account
Order Handling Fee ¹⁰	\$6	Per Trade
Late Trade Settlement	\$15	Per Trade (Not to exceed \$30 per settlement date)
Transaction Fee ¹¹	Variable	Per Transaction
American Depository Receipt (ADR) Fee ¹²	Variable	Per Cusip

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Employee Stock Option (ESO) Service Fee ¹³	Variable	Per Transaction
No-load Mutual Funds ¹⁴	\$39.95	Per Trade
ACCOUNT OR SERVICE	FEE AMOUNT	FREQUENCY
CASH MANAGEMENT SERVICES		
Debit Card Receipt Copy	\$10	Per Copy
Check Copy	\$3	Per Copy
Stop Payment or Reissue ¹⁵	\$25	Per Item
Returned Check or ACH Deposit	\$25	Per Item
Wire Transfer Out (domestic)	\$30	Per Wire
Wire Transfer Out (international)	\$35	Per Wire
Check Reorder ¹⁶	Variable	Per Order
ATM Surcharge ¹⁷	Variable	Per Transaction
Express Mail (domestic)	\$25	Per Item
Express Mail (international)	\$35	Per Item
Non-Sufficient Funds/Returned Payments ¹⁸	\$29	Per Transaction

¹The term “Registered Non-Traded Security” includes Limited Partnerships, Non-Traded Business Development Companies (BDCs), Non-Traded Real Estate Investment Trusts (REITs) and other securities that are not listed on an exchange.

²To help defray the cost of holding and maintaining custody of securities for which no trading market can be found or that may have been deemed worthless and/or without value.

³Ameriprise reserves the right to charge a fee for conducting any requested research.

⁴ For a certificate that is rejected by the assigned transfer agent because it was previously paid, replaced, reissued, exchanged, has a “Stop Transfer” applied to it, or has otherwise been deemed invalid.

⁵ For duplicate copies of account statements, tax statements, and trade confirmations. Waived if you have signed up to receive the requested document type by electronic delivery instead of U.S. Mail. Tax statements are not available for electronic delivery.

⁶ Ameriprise reserves the right to assess fees and/or interest on any funds advanced to you which result in an open debit in your account. Open Debit Fees are calculated as follows: Debit amount (x) base margin lending rate (x) number of days/360 = Fee amount.

⁷ DRS fees are assessed when transferring shares to client brokerage accounts. DRS fees are generally \$15, but can vary by transfer agent. The actual cost will be determined by the transfer agent and will be assessed to your account on a pass-through basis.

⁸ DWAC fees are assessed when exercising employee stock options within an Ameriprise brokerage account or by transferring treasury shares to Ameriprise from an external source.

⁹ Ameriprise reserves the right to assess on a pass-through basis any applicable fees or associated costs related to facilitating stock borrowing related to your account e.g. borrowing for short sale transactions).

¹⁰ Order handling fees are assessed to defray the cost of processing and executing transactions, including generating, mailing to you (via e-delivery or otherwise) and maintaining trade confirmations. You understand and agree that we may assess multiple order handling fees and/or commissions if a single order or transaction is executed on multiple days. Excludes orders placed in Managed Accounts, Certificates, Annuities, TSCA, Insurance, 529 plans, and certain Alternative Investments. For further details, contact your Ameriprise financial advisor.

¹¹ For all non-debt securities subject to prompt last sale reporting. Ameriprise and its clients are subject to policy and regulations established by various regulatory bodies and exchanges. At times, a transaction fee to cover costs of self-regulation may be charged.

¹² Ameriprise reserves the right to assess on a pass-through basis any applicable depository or transfer agent custodial fees related to securities you may hold.

¹³ When exercising employee stock options within an Ameriprise brokerage account, clients will be charged a Deposit/Withdrawal at Custodian (DWAC) fee. Fees vary by transfer agent and are typically \$50 to \$250. Fees assessed by the transfer agent, including any wire transfer fees, will be passed through to your account.

¹⁴ A transaction fee may be assessed for each transaction to cover the cost of offering the mutual fund to you. An early redemption fee may also be imposed by the fund company, generally if the position is held less than 180 days; refer to the fund prospectus for details.

¹⁵ For checks or other disbursements issued by Ameriprise

¹⁶ Check reorder prices vary based on check design and the order size.

¹⁷ Ameriprise does not assess ATM surcharge fees. ATM operators and/or networks may assess an ATM surcharge fee. In those instances, Ameriprise offers a rebate program if you qualify as an Ameriprise Achiever Circle or Ameriprise Achiever Circle Elite member. ATM surcharge fees are rebated monthly based on your Ameriprise Achiever Circle status at month end. The ATM fee rebate program may be modified or discontinued at any time.

¹⁸ For checks written using your Ameriprise ONE Financial Account.

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