

Company Disclosures

Possible Conflicts of Interest

As of November 30, 2018

American Enterprise Investment Services Inc. (“AEIS”) and Ameriprise Financial Services, Inc. (“AFSI”) are member firms registered with FINRA and are subject to the disclosure requirements relating to research analysts and the publication and distribution of research reports.

In the past 12 months, either AEIS, AFSI, or one of its affiliates managed or co-managed a public offering of securities for Allstate Corp., AT&T, JPMorgan, Prudential Financial.

In the past 12 months, either AEIS or AFSI was involved in the distribution of Eaton Vance High Floating Rate 2022 Target Term Trust; Nuveen Emerging Markets Debt 2022 Target Term Fund; Nuveen Preferred and Income 2022 Term Fund.

In the past 12 months, either AEIS or AFSI received compensation for products or services other than investment banking services from the following subject company(ies): Goldman Sachs, Invesco Ltd., JPMorgan Chase, MSCI, State Street Corp., SunTrust Banks.

The following subject company(ies) have an arrangement with either AEIS or AFSI that presents a material conflict of interest. Examples include but are not limited to conducting a stock loan/borrow business with the institution, maintaining a distribution agreement with the company, maintaining a cost reimbursement or marketing support agreement or similar business arrangement with the company and arrangements to provide financial education and/or access to planning or other financial products to the company: Allianz Global Investors, American Century Investments, Bank of America, BB&T Corp., BlackRock, Columbia Threadneedle Investments, Comcast Corporation, Credit Suisse Group AG, Delaware Investments, Dreyfus Funds, DWS Investments (formerly Deutsche Asset & Wealth Management), Eaton Vance, Federated, Fidelity Investments, Goldman Sachs, HSBC Holdings, Invesco Ltd., Ivy Funds, Janus Henderson Group PLC, John Hancock, JPMorgan Chase, Kraft Heinz, Legg Mason, Lord Abbett, Mainstay Investments, McDonalds Corporation, MetLife, MFS (Sun Life), Mitsubishi UFJ Financial Group, Inc., Neuberger Berman, Nuveen, Oppenheimer Funds, PGIM Investments, Principal Funds, Prudential Financial, Putnam, Raymond James Financial, Inc., SunTrust Banks, UBS Group AG, Virtus.

In the past 12 months, an affiliate of both AEIS and AFSI, IDS Property Casualty Insurance Company, dba Ameriprise Auto & Home Insurance, had a distribution agreement with Costco Insurance Agency, Inc., to market its personal auto and home products to Costco members. Ameriprise Home & Auto does not market or sell securities products.

Either AEIS, AFSI, or an affiliate beneficially owns (or is short) 1% or more of one or more classes of common equity securities of the following subject companies: Agilent Technologies, Inc., Alexion Pharmaceuticals, Allstate Corp., AMC Networks, American Tower, Baxter International, BB&T Corp., Best Buy Co., Inc., BlackRock, Inc., Broadcom Inc., Centene Corporation, Cisco Systems Inc., Corning, Inc., Cracker Barrel Old Country Store, Inc., Delta Air Lines, Digital Realty Trust, Edwards Lifesciences Corporation, Inc., Grifols, S.A., Halliburton Co., Honeywell International, Humana, Inc., Invesco Ltd., Kaman Corporation, Kimberly Clark, Ligand Pharmaceuticals, Lockheed Martin, Maxim Integrated Products, MercadoLibre, Inc., Mondelez International Inc., MSCI, Philip Morris International, Inc., Prudential Financial, Raymond James Financial, Inc., Ritchie Bros. Auctioneers, S&P Global, Inc., Synopsys, Ulta Beauty, Union Pacific Corporation, Xylem.