



Client Data Update Form



i Instructions and explanations for each section can be found on the back of this form.

CORR-CLI
 TIN
 CHANGE-NAME

Client Number

Part 1 Personal Information

- Please enter client reference information below (incomplete information may delay processing).

Client Name

Name of Trust or Entity Taxpayer Identification Number

Does this change apply to an existing RAVA 5[®]/Innovations Select Product (Prefix 9925)? Yes No

Account Number 9925

Part 2 Date of Birth Correction

- Please enter your correct date of birth, and complete Part 5.

Date of Birth

Part 3 Taxpayer Identification Number Correction

- If we are listing your Taxpayer Identification Number incorrectly, please enter the correct number and complete Part 5.

Social Security Number OR Employer Identification Number

Part 4 Name Change or Correction

- Client's TIN must be entered in Part 1 above. This information is REQUIRED for all name changes and corrections.
- Inform Social Security Administration of this change.
- This change may affect the way your accounts are reported on your consolidated statement.
- Please enter your correct name below, and complete Part 5.

Change is due to: Marriage Divorce Other

First Middle (Optional) Last

Honorific (Optional) Suffix (Optional)



Part 5 Declarations and Signature

This section must be completed when requesting any change or correction using this form.

Backup Withholding

W-9 TIN Certification

Check appropriate box for federal tax classification (required):

<input type="radio"/> Individual/Sole proprietor/Single Member LLC	<input type="radio"/> Partnership
Corporation	<input type="radio"/> Trust
<input type="radio"/> C-Corporation	<input type="radio"/> Revocable (Optional Additional Trust Details)
<input type="radio"/> S-Corporation	<input type="radio"/> Irrevocable (Optional Additional Trust Details)
Limited Liability Company (LLC)	<input type="radio"/> Irrevocable Grantor (Optional Additional Trust Details)
<input type="radio"/> C-Corporation	<input type="radio"/> Estate
<input type="radio"/> S-Corporation	<input type="radio"/> Other <input type="text"/>
<input type="radio"/> Partnership	

Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

Check here if owner is an Exempt Payee (defined in Form W-9 instructions) Exempt Payee code:

1-An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2), 2-The United States or any of its agencies or instrumentalities, 3-A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities, 4-A foreign government or any of its political subdivisions, agencies, or instrumentalities, 5-A corporation, 6-A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States, 7-A futures commission merchant registered with the Commodity Futures Trading Commission, 8-A real estate investment trust, 9-An entity registered at all times during the tax year under the Investment Company Act of 1940, 10-A common trust fund operated by a bank under section 584(a), 11-A financial institution, 12-A middleman known in the investment community as a nominee or custodian, 13-A trust exempt from tax under section 664 or described in section 4947

Foreign Account Tax Compliance Act Reporting

A FATCA exemption code is required for persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. If you are only submitting this form for an account you hold in the United States, no code is required. Otherwise, submit IRS Form W-9 separately.

As used below, the word "I" refers to the applicant who is the taxpayer on the account.

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number, and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below), and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification Instructions:

As used below, the word "You" refers to the applicant who is the taxpayer on the account.

Check this box if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

Non-U.S. persons should submit the appropriate Form W-8. Form W-9 and Form W-8 and their instructions are available upon request or on irs.gov.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Sign here with your correct (or new) name spelling:

Date

X _____

Advisor Information

Completed by Demo User	Date
Advisor Number 30601	Phone Number (775) 829-7210

**Guidelines to Complete Form:**

Always complete Parts 1 and 5. Forms received with incomplete information may be delayed and/or returned.

Date of Birth Corrections

- Enter client name, client ID number, and answer product type question in Part 1.
- Enter correct date of birth in Part 2.
- Client must sign and date Part 5.

Taxpayer ID Number Corrections

- Enter client name, client ID number, and answer product type question in Part 1.
- Enter correct TIN in Part 3.
- Client must sign and date Part 5.

Name Change or Correction

- Enter client name, client ID number, and answer product type question in Part 1. (Enter old or incorrect name in this section.)
- Client's TIN must be entered in Part 1. This information is REQUIRED for all name changes and corrections.
- Enter new or correct client name in Part 4.
- Client must sign (with his or her new or correct name) and date Part 5.
To change corporate/organization names a Change of Ownership F120 form must be submitted in place of this form.

