



Service address:

RiverSource Life Insurance Co. of New York
70751 Ameriprise Financial Center, Minneapolis, MN 55474
Client Services: 1-800-633-3565



Change of Ownership - Privileged Assets

RiverSource Contract Number

All sections must be completed in order to process your request.
New York Regulation requires insurance companies to request supplemental ownership information.

Print Full Name of Current Owner(s)

The undersigned hereby assigns and transfers without any exception, limitation or reservation whatsoever to (select one of the following options):

Part 1 Authorization to Transfer Ownership — Must be signed by all present owners

Is the Change of Ownership due to a divorce? Yes No

With the Intention of Making a Gift, I (we) hereby transfer and convey — OR

For Value Received, I (We) hereby sell, transfer and convey — subject to the approval of RiverSource Life Insurance Co. of New York, absolute and unconditional ownership of the above described annuity contract to the person(s) named in B, C or D and his/her/its successors and assigns. If this contract has been assigned as collateral, this transfer of ownership is made subject to that assignment. If any contingent owner is named in the contract, or by subsequent instrument, that designation of contingent owner is hereby revoked. The Company is not responsible for the sufficiency or validity of this transfer of ownership.

Note: There may be tax implications as a result of transferring ownership of an annuity. Consult your tax advisor prior to the Transfer of Ownership. The company may report "Gifting" on annuities purchased after April 22, 1987.

Part 2 New Individual Owner

Name (First, Middle Initial, Last)	Phone Number	Social Security Number	Date of Birth
Street Address	City	State	Zip Code

Part 3 New Joint Owner (Spouse Only)

Name (First, Middle Initial, Last)	Phone Number	Social Security Number	Date of Birth
Street Address	City	State	Zip Code

Part 4 New Trust Ownership

Name of Trust	Tax ID Number	Date of Trust	
Name of the Grantor of the Trust	Date of Birth	Phone Number	
Street Address	City	State	Zip Code



Part 4 Notary Acknowledgement of Current Contract Owner(s)

This form must be notarized in order to process your request.

- If this contract has been assigned as collateral, this transfer of ownership is subject to the approval of that assignment.
- No transfer of ownership will be binding upon the Company until an executed copy thereof has been received and filed at its Home Office.
- The Company is not responsible for the sufficiency or validity of this transfer of ownership.
- Subject to the approval of RiverSource Life Insurance Co. of New York, I/we absolutely and unconditionally transfer ownership of the above described annuity contract to the person(s) named and his/her successors or assigns.
- Any request for an ownership change of an account registered under UTMA/UGMA to an owner other than the former minor originally named on the account will require that the minor, who has reached the age of majority, and the Custodian must sign below and have their signature notarized.
- The current beneficiary designation will remain in effect until changed by the new contract owner(s). To change the beneficiary designation, please complete the Change of Beneficiary Form.

Authorization and Acknowledgments (Notarized Signatures Required)

Signature of Assignee (if applicable)

X

Signature of Current Contract Owner

X

Signature of Joint Contract Owner (if any)

X

County of

State of

The foregoing instrument was acknowledged before me this _____ day of _____, 20_____.

By _____ (name(s) of current contract owner acknowledging)

Notary Public or Signature Guarantee

Date Signed

Signature of Notary Public

X

Place notary seal here

Part 5 New Owner Taxpayer Identification and Certification

W-9 TIN Certification

Check appropriate box for federal tax classification (required):

Individual/Sole proprietor

Partnership

Corporation

Trust

C-Corporation

Revocable (Optional Additional Trust Detail)

S-Corporation

Irrevocable (Optional Additional Trust Detail)

Limited Liability Company (LLC)

Estate

C-Corporation

Other _____

S-Corporation

Partnership

Check here if owner is an Exempt Payee (defined in Form W-9 instructions)

Exempt Payee code: _____

A code must be supplied for accounts with an Exempt Payee type.



Foreign Account Tax Compliance Act (FATCA) Reporting

A FATCA exemption code is required for persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. If you are only submitting this form for an account you hold in the United States, no code is required. Otherwise, submit IRS Form W-9 separately

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number, and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined in Form W-9 instructions) , and
4. The payee is exempt from Foreign Account Tax Compliance Act (FATCA) reporting.

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Non-U.S. persons should submit the appropriate Form W-8.

Form W-9 and Form W-8 and their instructions are available upon request or on irs.gov.

Part 6 Acceptance of New Contract Owner

- I affirm that I am at least 18 years of age and full legal age in my state of residence.
- I/we acknowledge that we will be bound by the terms of the annuity contract, as are my/our heirs, executors, administrators and successors
- I/we acknowledge that if a joint owner is added, the current taxpayer will continue as primary taxpayer unless otherwise noted.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Note: This signature cannot predate the signature in Section E.

All New Owners/Fiduciaries of the contract identified in this form Must Sign Here:

Date

X _____

X _____

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