

Ameriprise Financial Grant Making Guidelines

At Ameriprise Financial, giving back is deeply rooted in our culture. We've initiated positive change in the communities where we live and work for more than 120 years. We believe our community involvement enables us to actively live our values. Through grant making, volunteerism and employee and financial advisor gift matching programs, we support a diverse group of over 6,000 nonprofits across the country.

Our grant making is an important part of how we strengthen communities and help individuals in need. We focus on three key priorities. By targeting our giving, we are able to make the most of our resources and engage our people to make a collective impact with greater benefit for communities.

Our grant making focus areas are:

1. Meeting Basic Needs
 - a. Hunger
 - b. Shelter
 - c. Adult Self-Sufficiency
2. Supporting Community Vitality
 - a. Community Development
 - b. Cultural Enrichment
 - c. Disaster Relief and Recovery
3. Volunteer-Driven Causes

Volunteer engagement is a priority across all focus areas:

The engagement of Ameriprise employees and financial advisors is a critical component of our philanthropy. Whether it's serving on a nonprofit board, engaging friends, clients and community members in volunteering or providing skills-based support, our relationships with nonprofits go deep. For this reason, we give priority across all focus areas to applications where there is active volunteer engagement of Ameriprise advisors and employees.

1. Meeting Basic Needs

At Ameriprise Financial, we help clients achieve financial security and peace of mind. That's satisfying, meaningful work. We also help the people in our neighborhoods who struggle to meet basic needs such as where their next meal comes from, where they'll sleep tonight or how they'll find a higher wage job. We're here to help them through the three platforms of our Meeting Basic Needs focus area.

Consideration is given to applications addressing the following:

a. Hunger

- Food banks, food shelves and food pantries, daily meal programs or meal services for the homebound
- Hunger-relief programs targeted to meet the special needs of children, ethnic populations or veterans
- Food programs run by nonprofits where hunger is not their sole focus, for example a youth meal program at the YWCA or a backpack program run by a Boys & Girls Club

Our hunger support does not include: nutrition education, cooking instruction or gardening programs.

b. Shelter

- Emergency shelter, including youth homelessness
- Transitional housing, permanent supportive housing and efforts to end chronic homelessness
- Housing-first models (programs quickly providing housing and then addressing needed services)
- Achieving and maintaining home ownership, repair and maintenance efforts helping keep seniors, veterans and other populations in their homes

c. Adult Self-Sufficiency

Programs serving adults age 21 and older that help address the following areas:

- Basic hard and soft skills that help adults achieve economic and family stability
- Basic financial and budgeting skills
- Increase employability and wages, including work readiness and job transitions
- Employment of disabled adults

Our adult self-sufficiency support does not include Individual Development Accounts (IDAs), scholarships or any type of financial incentive

2. Supporting Community Vitality

We believe communities should be strong, healthy and resilient. We want livable places for all, where neighbors look out for one another, cultural events are well-attended and people pull together in times of crisis and joy. We work to create economic vitality and cultural enrichment through the following areas of focus:

Consideration is given to applications addressing the following:

a. Community Development

- Neighborhood revitalization
- Economic development
- Strengthening and supporting small businesses and nonprofits through technical expertise

b. Cultural Enrichment

- Arts education
- Access for underserved populations
- Diverse artists and performances that spark topical community conversations

Our cultural enrichment support does not include the sponsorship of performances, festivals, exhibits or individual artists

c. Disaster Relief and Recovery

As a company committed to personal financial planning, we strongly believe in preparing for the unexpected in life. Annually we support the American Red Cross Disaster Relief Fund and International Response Fund so that they can immediately help when the unexpected hits a community. We do not consider applications under our Disaster Relief and Recovery focus area.

3. Volunteer-Driven Causes

Ameriprise employees and financial advisors are outstanding volunteers who serve in teams and also as individuals bringing personal skill-sets to nonprofits. Volunteering is part of the culture at Ameriprise and we are proud to support communities through contributions of both service and financial resources.

Funding for Volunteer-Driven Causes is determined by current Ameriprise volunteerism. In general, funding is in proportion to the size of the Ameriprise volunteer team supporting a nonprofit. A team may include employees, financial advisors and/or staff or a combination of any Ameriprise volunteers.

Application requirements:

If the nonprofit is located near one of our employee centers, a higher level of volunteer engagement is required to apply under the Volunteer-Driven Causes focus area. Please use the chart below to determine the number of Ameriprise volunteers needed to apply for funding under Volunteer-Driven Causes.

Location	Application requirement
Twin Cities/Minneapolis and St. Paul	25 or more Ameriprise volunteers
Green Bay, Wis.	25 or more Ameriprise volunteers
Las Vegas	25 or more Ameriprise volunteers
Phoenix	25 or more Ameriprise volunteers
All other locations	5 or more Ameriprise volunteers

Note: The Volunteer-Driven Causes focus area provides an opportunity for organizations with volunteer engagement outside of Meeting Basic Needs and Supporting Community Vitality to apply for funding. Applications must still meet the eligibility requirements outlined in the “eligibility” section of this document. If your organization meets the eligibility and volunteer requirements and fits in either Meeting Basic Needs or Supporting Community Vitality focus areas, please apply under one of them.

Eligibility

Eligible programs and organizations will:

- Hold a 501(c)(3) IRS designation
- Clearly match one of our three grant making focus areas as defined in this document: Meeting Basic Needs, Supporting Community Vitality and Volunteer-Driven Causes
- Provide a complete application, including an uploaded financial audit or financial review that was completed within the last two years in accordance with Generally Accepted Accounting Principles (GAAP)
- Show measurable results and clearly documented outcomes
- Demonstrate financial stability
- Provide required reporting, if a prior grantee

Non-eligible programs and organizations:

- Organizations that do not meet all of the eligibility criteria stated above
- Organizations that do not comply with the Ameriprise Financial non-discrimination policy:
 - “We are committed to equal employment opportunity and unbiased treatment of all individuals based on job-related qualifications and without regard to race, color, gender, age national origin, religion, creed, sexual orientation, gender identity marital status, citizenship, disability, veteran status or any other basis prohibited by law.”
- Capital or endowment campaigns
- Multi-year commitments
- Private foundations
- International organizations or domestic organizations requesting funding for programs outside the United States
- Organizations requesting funding for re-granting

- Sponsorships, galas, fundraising dinners/tables, sporting tournaments (including youth athletics), tickets and advertising
- Fraternal, social, labor, athletic, veterans or other membership organizations
- Individuals or groups seeking support for personal needs, travel, scholarships or research
- Medical research, medical programs, hospitals and clinics
- Political organizations, programs or initiatives whose primary purpose is to influence legislation, advocacy, candidates or political campaigns
- Religious organizations for religious purposes
- Start-up funding for new organizations
- In compliance with the U.S.A. PATRIOT Act, organizations that fund terrorist groups or activities

Deadlines

Annual deadlines are January 15 and May 15.

- All deadlines are firm
- When you submit your application you will receive an email confirmation. Please do not call or email regarding the status of your application.
- Funding decisions will be communicated within approximately four months of the deadline

Note: All required documents must be uploaded as part of an application. Submitting a placeholder or instructions on how to receive a document will automatically result in the declination of a funding request.

If you have questions that have not been addressed in these guidelines please send an email to Communityrelations@ampf.com.